

Optimal Operation and Control of Thermal Energy Systems

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PhD Defence

Overview: Scope

- | Optimal operation and control for steam cycles – plantwide perspective

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- I Optimal operation and control for steam cycles – plantwide perspective
- II Input transformations for linearization, decoupling and feedforward disturbance rejection

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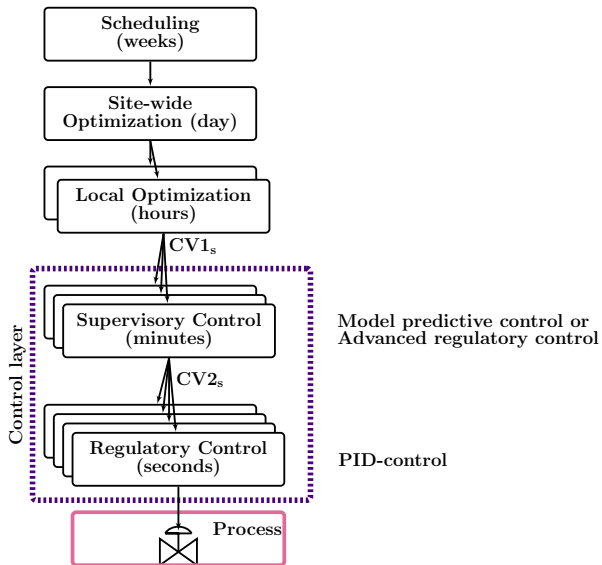
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 - Industry** nonlinear static model based calculation block, but little theory
 - Academia** heavy mathematical treatment of linearizing nonlinear dynamic systems, but few applications

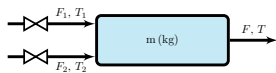
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- III Handling constraints on manipulated used for inventory control to balance supply and demand

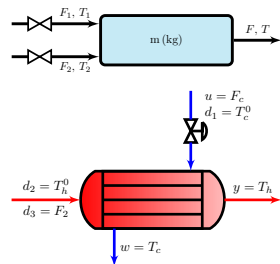
1. Overview: operation and control



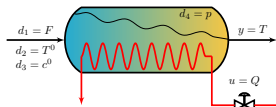
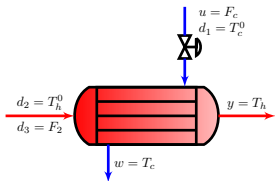
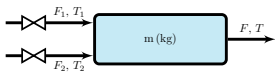
1. Overview: thermal energy systems



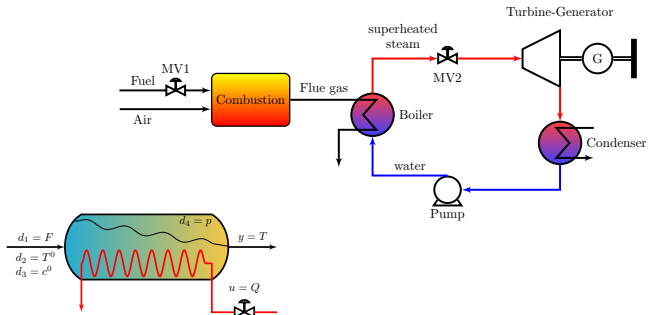
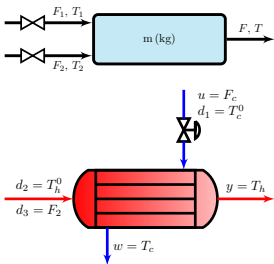
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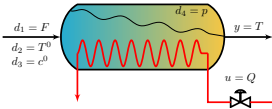
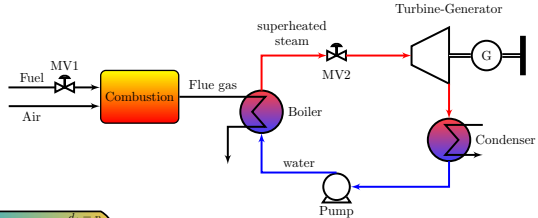
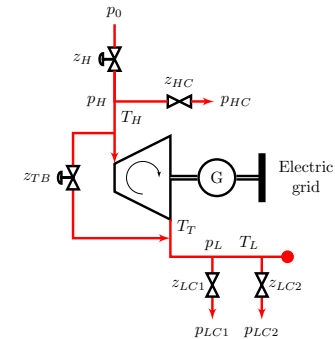
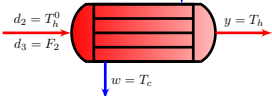
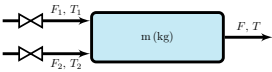
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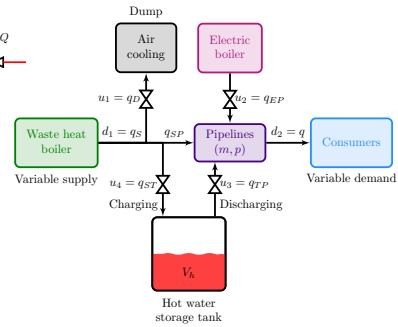
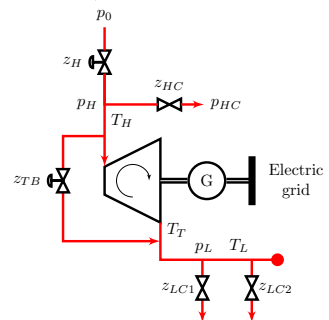
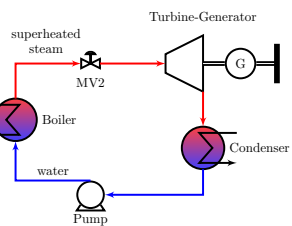
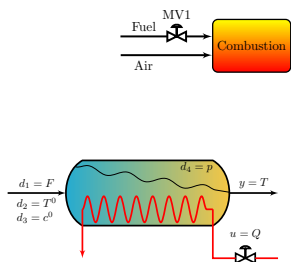
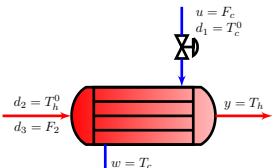
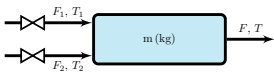
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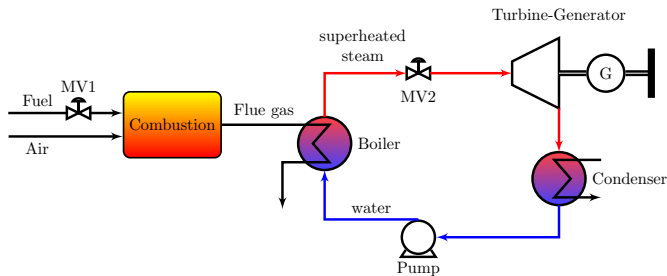
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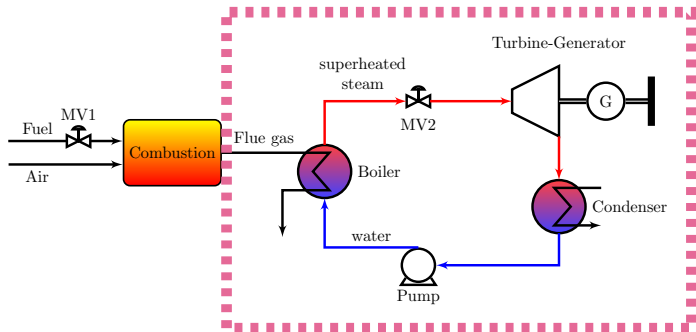
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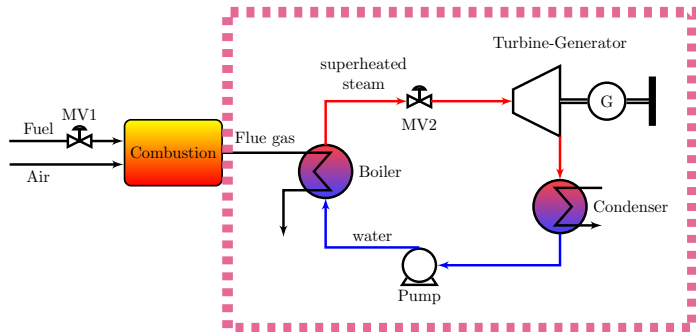
2. Optimal operation and control of steam cycles



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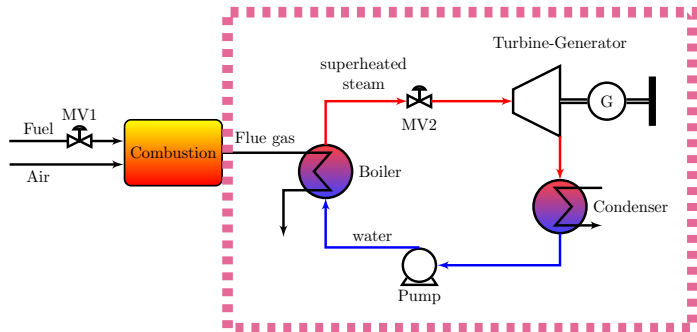


2. Optimal operation and control of steam cycles



1. Identify operational objectives
(steady-state)

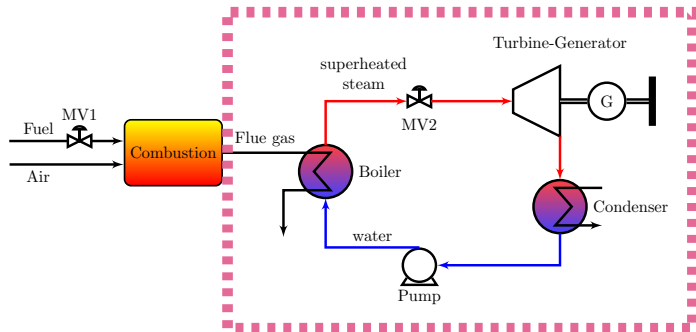
2. Optimal operation and control of steam cycles



I. Identify operational objectives
(**steady-state**)

II. Analyze performance of different
control strategies (**dynamic**)

2. Optimal operation and control of steam cycles

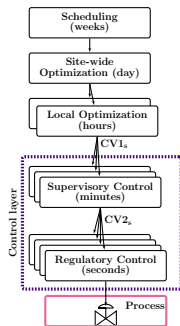
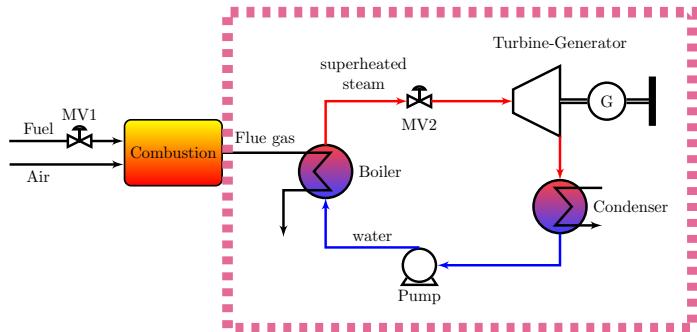


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Framework: **plantwide control**

2. Optimal operation and control of steam cycles

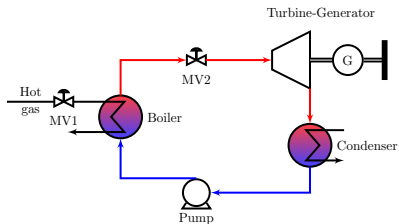


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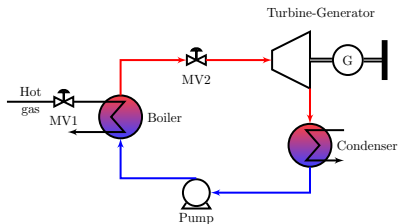
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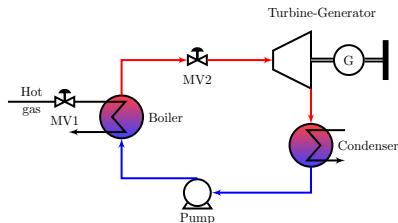


2. Optimal operation and control of steam cycles



Control objectives:

2. Optimal operation and control of steam cycles

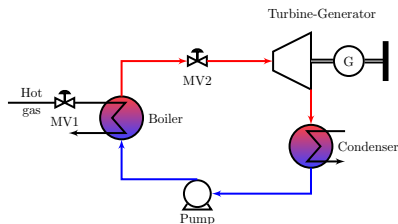


Control objectives:

┆ Long time scale:

- ▶ achieve optimal economic operation

2. Optimal operation and control of steam cycles



Control objectives:

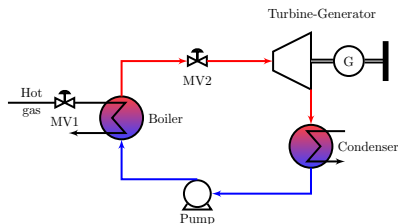
I Long time scale:

- ▶ achieve optimal economic operation

II Short time scale:

- ▶ grid frequency regulation
- ▶ stabilize the plant
- ▶ reject local disturbances

2. Optimal operation and control of steam cycles



Control objectives:

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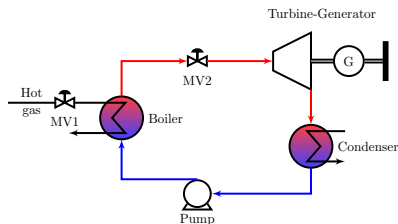
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Operational objectives:

2. Optimal operation and control of steam cycles



Control objectives:

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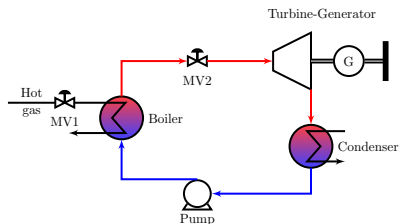
- ▶ grid frequency regulation
- ▶ stabilize the plant
- ▶ reject local disturbances

Operational objectives:

I Produce energy:

- ▶ electric power
- ▶ steam
- ▶ electric power and steam

2. Optimal operation and control of steam cycles



Control objectives:

I Long time scale:

- ▶ achieve optimal economic operation

II Short time scale:

- ▶ grid frequency regulation
- ▶ stabilize the plant
- ▶ reject local disturbances

Operational objectives:

I Produce energy:

- ▶ electric power
- ▶ steam
- ▶ electric power and steam

II Process a given amount of by-product:

- ▶ waste gases
- ▶ biomass residues

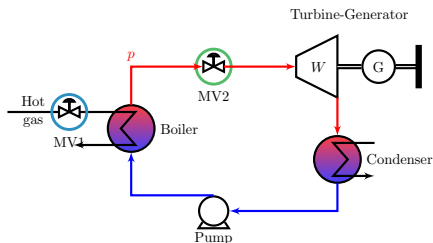
2. Optimal operation and control of steam cycles: steady-state analysis

Degrees of freedom

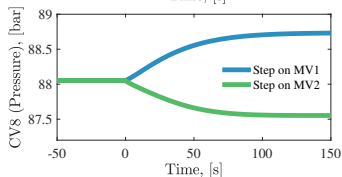
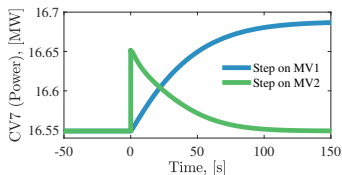
→ 2 after stabilizing the process and controlling the active constraints

MV1 Hot gas flow rate

MV2 Steam turbine valve



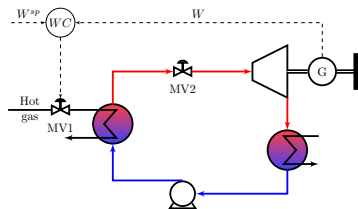
Steps on MV1 and MV2



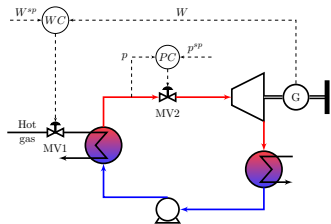
2. Optimal operation and control of steam cycles: dynamic analysis

Operation modes – industrial standards

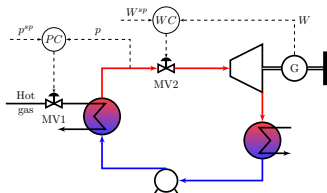
Floating pressure



Boiler Driven

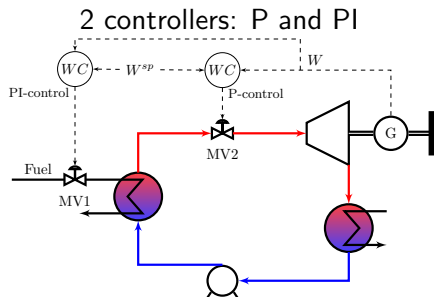
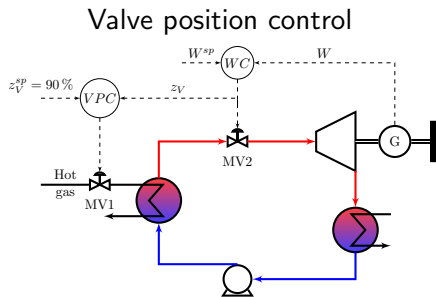


Turbine driven



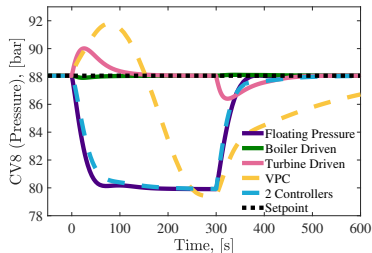
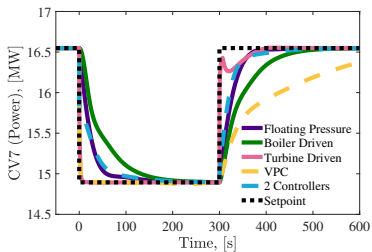
2. Optimal operation and control of steam cycles: dynamic analysis

Operation modes – parallel control

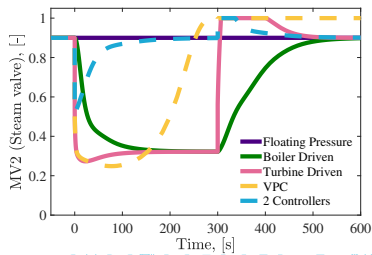
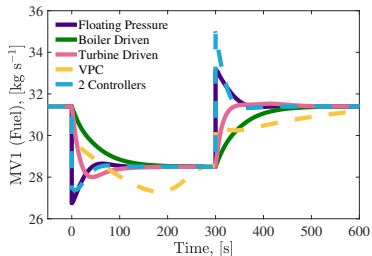


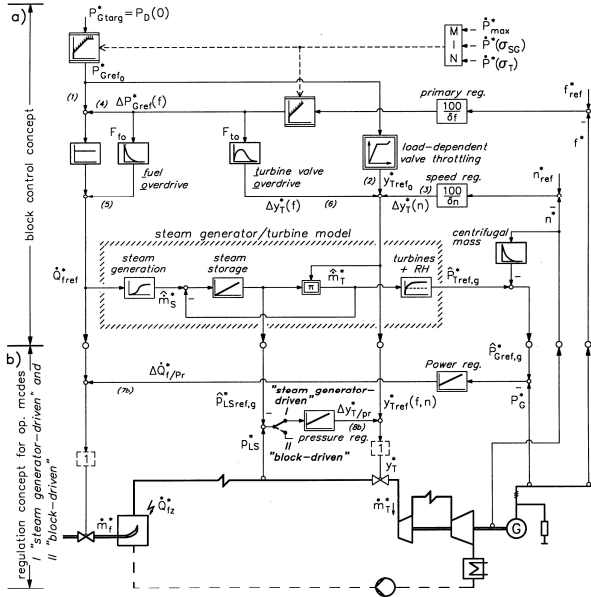
2. Optimal operation and control of steam cycles: simulation results

CVs



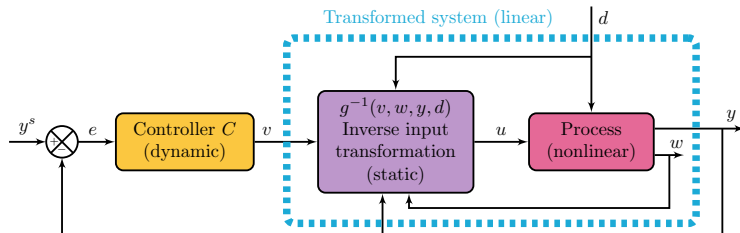
MVs





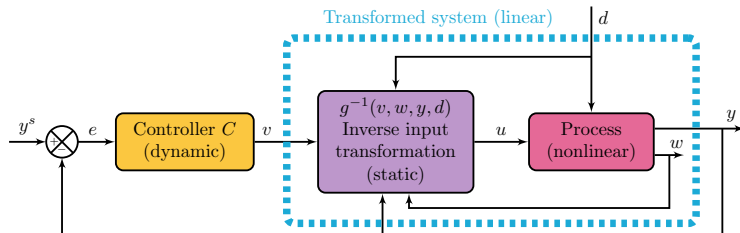
3. Input transformations for linearization, decoupling and feedforward disturbance rejection

The main idea



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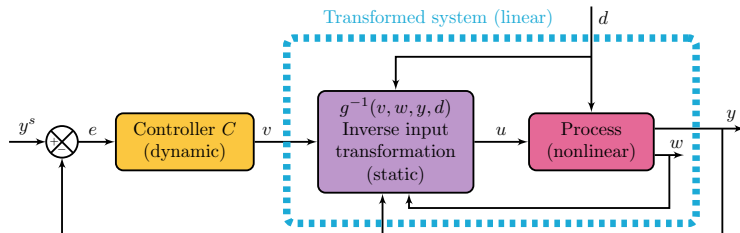
What?

Why?

How?

3. Input transformations for linearization, decoupling and feedforward disturbance rejection

The main idea



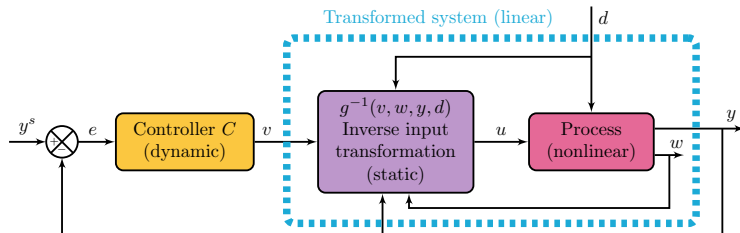
What? Powerful and simple approach for control of nonlinear systems to achieve decoupling, linear response and disturbance rejection.

Why?

How?

3. Input transformations for linearization, decoupling and feedforward disturbance rejection

The main idea



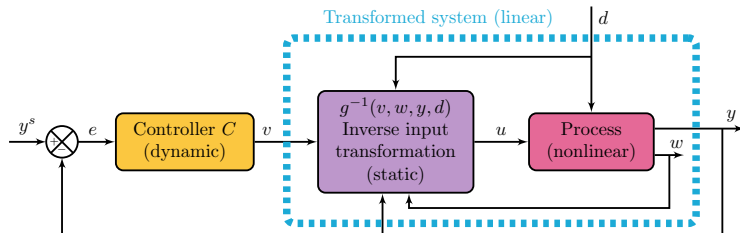
What? Powerful and simple approach for control of nonlinear systems to achieve decoupling, linear response and disturbance rejection.

Why? Existing theories (e.g. feedback linearization) are (seemingly) very complex and not widely used in industrial settings.

How?

3. Input transformations for linearization, decoupling and feedforward disturbance rejection

The main idea



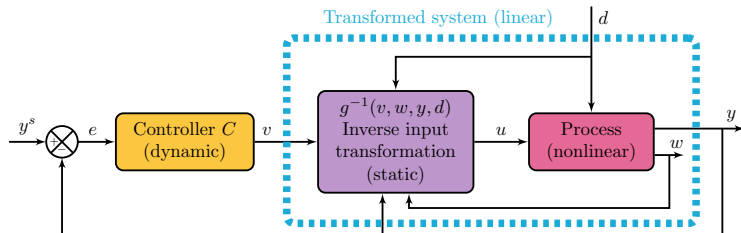
What? Powerful and simple approach for control of nonlinear systems to achieve decoupling, linear response and disturbance rejection.

Why? Existing theories (e.g. feedback linearization) are (seemingly) very complex and not widely used in industrial settings.

How? Simple manipulated variable (MV) transformations derived from nonlinear model equations

3. Input transformations for linearization, decoupling and feedforward disturbance rejection

The main idea



Example: static process

$$\text{Model: } y = u - d$$

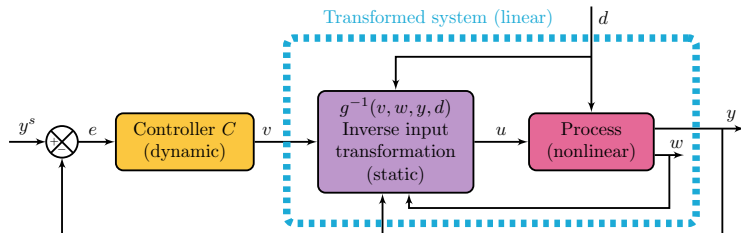
$$\text{Transformed input: } v = u - d$$

$$\Rightarrow \text{Transformed system: } y = v$$

Find u : $u = v + d$, given v and d .

3. Input transformations for linearization, decoupling and feedforward disturbance rejection

The main idea



Other examples:

$$v = u + d$$

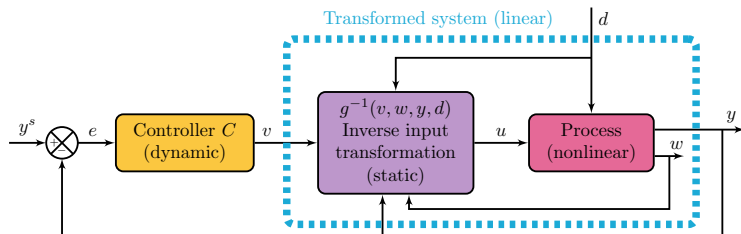
$$v = \frac{u}{d}$$

$$v = \frac{u_1}{u_2}$$

$$v = u_1 - u_2$$

$$v = w$$

3. Input transformation



$y \in \mathbb{R}^{n_y}$ outputs

$w \in \mathbb{R}^{n_w}$ additional measurements

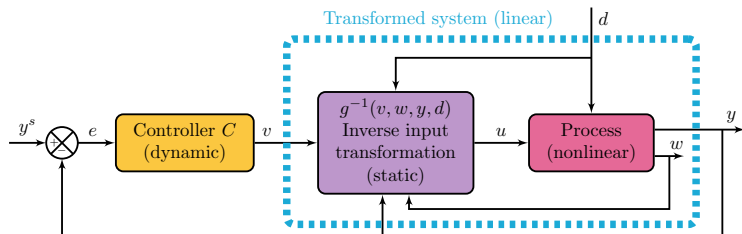
$u \in \mathbb{R}^{n_u}$ original inputs

$y^s \in \mathbb{R}^{n_y}$ setpoint

$d \in \mathbb{R}^{n_d}$ disturbances

$v \in \mathbb{R}^{n_u}$ transformed inputs

3. Input transformation



$y \in \mathbb{R}^{n_y}$ outputs

$w \in \mathbb{R}^{n_w}$ additional measurements

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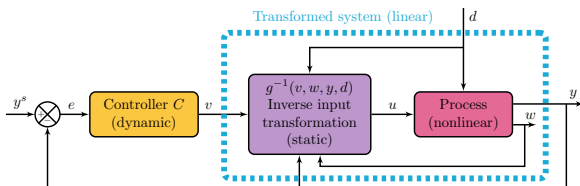
$d \in \mathbb{R}^{n_d}$ disturbances

$v \in \mathbb{R}^{n_u}$ transformed inputs

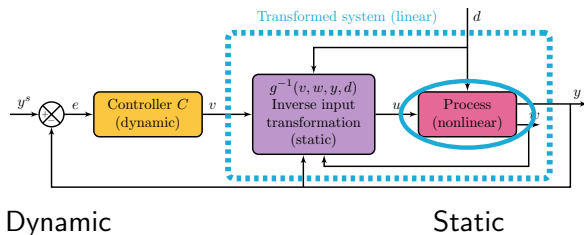
Assumptions

- as many outputs as inputs ($n_y = n_u$)
- disturbances (d) can be measured
- some variables (w) can be measured (e.g. flows, or additional states)

3. Derivation of transformed inputs



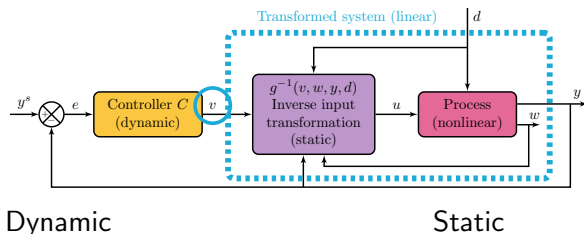
3. Derivation of transformed inputs



Model: $\frac{dy}{dt} = f(y, u, d)$

$$y = f_0(u, d)$$

3. Derivation of transformed inputs



Model: $\frac{dy}{dt} = f(y, u, d)$

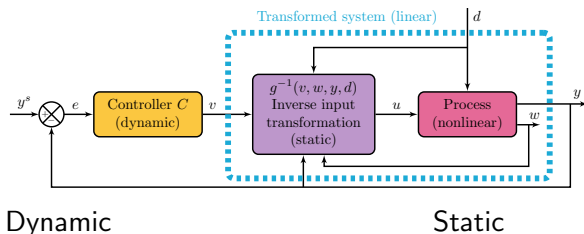
$$y = f_0(u, d)$$

Define the transformed input (v) as:

$$v_A = B^{-1} (f(y, u, d) - Ay)$$

$$v_0 = B_0^{-1} f_0(u, d)$$

3. Derivation of transformed inputs



Model: $\frac{dy}{dt} = f(y, u, d)$

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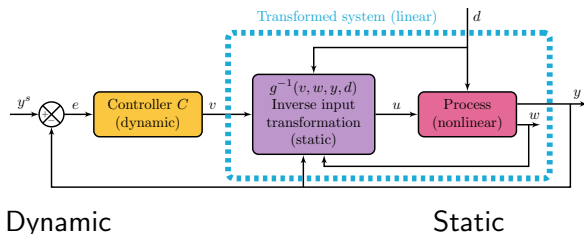
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A , B and B_0 are tuning parameters.

3. Derivation of transformed inputs



Model: $\frac{dy}{dt} = f(y, u, d)$

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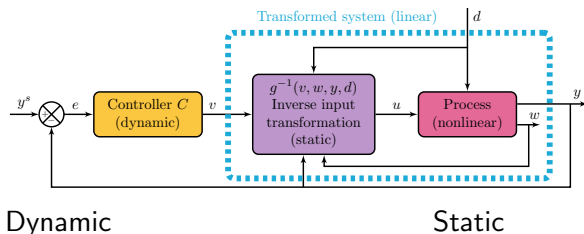
$$v_0 = B_0^{-1} f_0(u, d)$$

The transformed system is:

$$\frac{dy}{dt} = Ay + Bv_A$$

$$y = B_0 v_0$$

3. Derivation of transformed inputs



Model: $\frac{dy}{dt} = f(y, u, d)$

$$y = f_0(u, d)$$

Define the transformed input (v) as:

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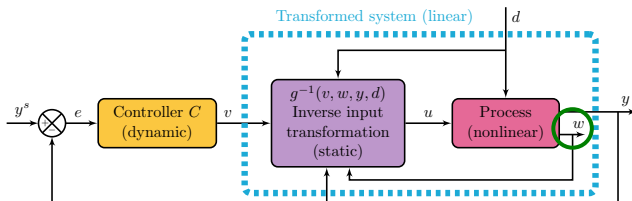
The transformed system is:

$$\frac{dy}{dt} = Ay + Bv_A$$

$$y = B_0 v_0$$

First-order (dynamic case), linear, decoupled system and with no effect from disturbances.

Use of extra measurements



$$\text{Model: } \frac{dy}{dt} = f(y, u, w, d)$$

$$\text{Transformed input } (v): v_A = B_0^{-1} (f(y, u, w, d) - Ay)$$

Extra variables w that depend on u

- may replace measurements of disturbances
- may be used for unmodelled dynamics or uncertainties
- should be stable (i.e. no RHP-zeros).

Tuning parameters A and B

Transformed input (v): $v_A = B^{-1} (f(y, u, w, d) - Ay)$

How to select A ? \Rightarrow Design decision

- 1 $A = \text{diag} \left(\left. \frac{\partial f(y, u, w, d)}{\partial y} \right|_* \right)$, i.e. diagonal elements of the Jacobian
 \Rightarrow small positive feedback from y to v nominally

Tuning parameters A and B

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 \Rightarrow small positive feedback from y to v nominally
- 2 larger A to speed-up the response

Tuning parameters A and B

Transformed input (v): $v_A = B^{-1} (f(y, u, w, d) - Ay)$

How to select A ? \Rightarrow Design decision

- 1 $A = \text{diag} \left(\left. \frac{\partial f(y, u, w, d)}{\partial y} \right|_* \right)$, i.e. diagonal elements of the Jacobian
 \Rightarrow small positive feedback from y to v nominally
- 2 larger A to speed-up the response
- 3 smaller A to slow-down the response

Tuning parameters A and B

Transformed input (v): $v_A = B^{-1} (f(y, u, w, d) - Ay)$

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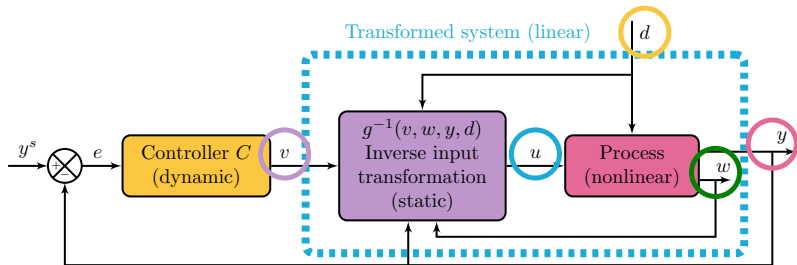
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How to select B ? \Rightarrow Design decision

- $B = I$
- keep $k_{vy} = k_{uy} \Rightarrow B = \text{diag}(\tilde{B}) = \text{diag} \left(\frac{\partial f(y, u, w, d)}{\partial u} \right)_*$
- $B = -A$

Implementation of transformed inputs

Solves $v = f(y, u, w, d) - Ay$ w.r.t u , given v, y, d , and in some cases w .
Nonlinear feedforward controller

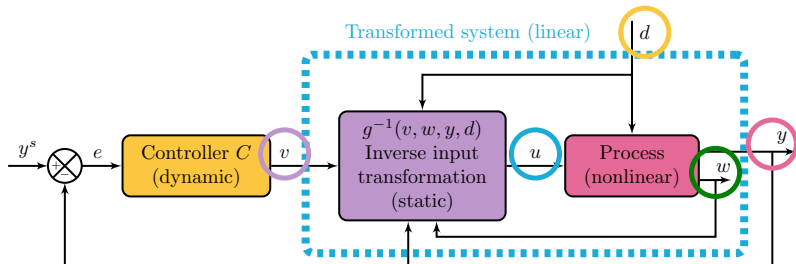


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Implementations

- exact model based inversion \Rightarrow explicit solution $u = g^{-1}y, v, w, d$

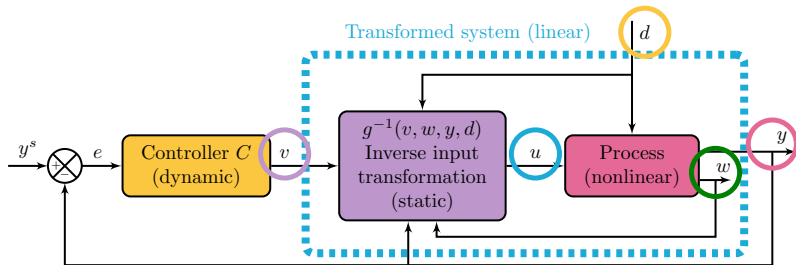


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Implementations

- exact model based inversion \Rightarrow explicit solution $u = g^{-1}y, v, w, d$
- feedback based using an I-controller (cascade).



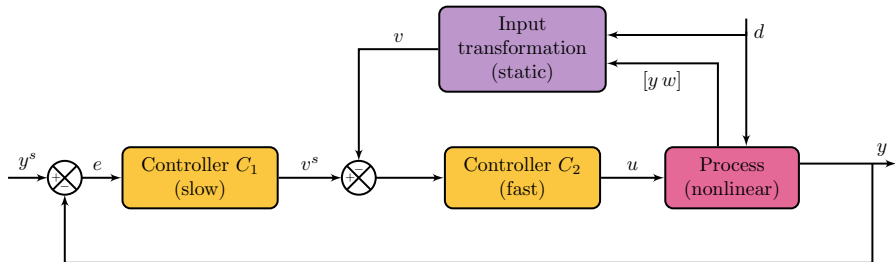
Feedback based implementation

Advantages

- safer implementation \Rightarrow does not invert the input transformation eq. to solve for u
- handles \Rightarrow RHP-zeros, measurement delays, plant-model mismatch
- more robust

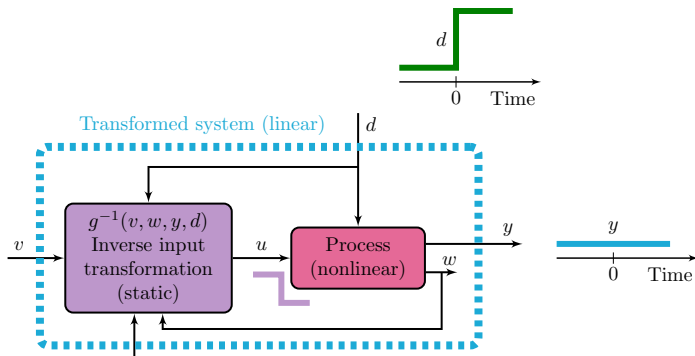
Drawback

- does not give perfect disturbance rejection



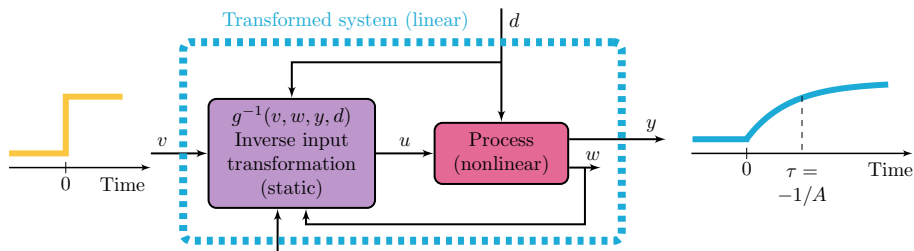
Linear controller

- perfect model and measurements \Rightarrow do not need the outer feedback loop because the transformation \Rightarrow nonlinear **feedforward** controller



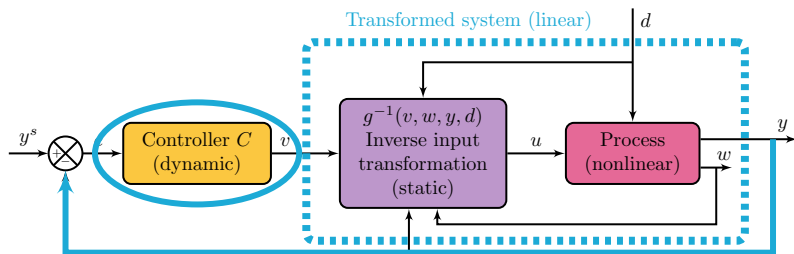
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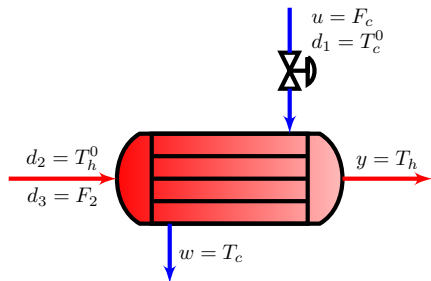


Linear controller

- perfect model and measurements \Rightarrow do not need the outer feedback loop because the transformation \Rightarrow nonlinear **feedforward** controller
- setpoint changes can be handled by directly changing v^s
- real plant \Rightarrow unmeasured disturbances and unmodelled dynamics \Rightarrow use decentralized SISO controllers for controlling y using v as inputs.



Example: control of heat exchanger hot outlet temperature



MVs (original inputs):

$$u = F_c \text{ [kg/s]}$$

CVs (outputs):

$$y = T_h \text{ [}^\circ\text{C]}$$

DVs (disturbances):

$$d_1 = T_c^0 \text{ [}^\circ\text{C]}$$

$$d_2 = T_h^0 \text{ [}^\circ\text{C]}$$

$$d_3 = F_h \text{ [kg/s]}$$

$$d_4 = UA \text{ (unmeasured)}$$

w -variables:

$$w = T_c \text{ [}^\circ\text{C]}$$

Example: control of heat exchanger hot outlet temperature

Objective: find transformed input (v_0) \Rightarrow disturbance rejection.

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Static energy balance using $\epsilon - NTU$

$$y = T_h = \underbrace{(1 - \epsilon_h) T_h^{in} + \epsilon_h T_c^{in}}_{v_0}$$

with $\epsilon_h = \epsilon_h(u, d_1, d_2, d_3)$

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Static energy balance using w -measurements (T_c)

$$y = T_h = \underbrace{T_h^0 + \frac{F_c c_{p_c}}{F_h c_{p_h}} (T_c^0 - T_c)}_{v_{0,w}}$$

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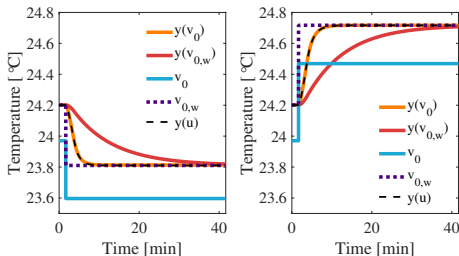
Transformed system: $y = v_0$ or $y = v_{0,w}$ Tuning parameter: $B_0 = I$

Actual process is dynamic, but we use an input transformation derived from a static model

Example: control of heat exchanger hot outlet temperature. Open loop responses

Feedback-based implementation without the outer controller

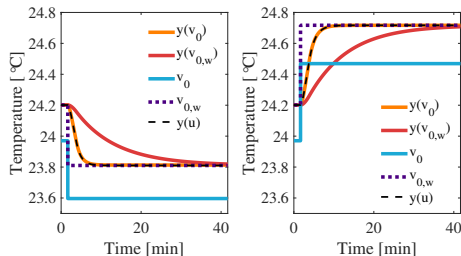
Step response from v to y



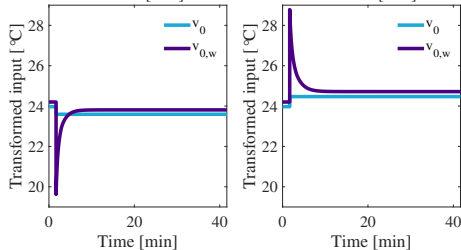
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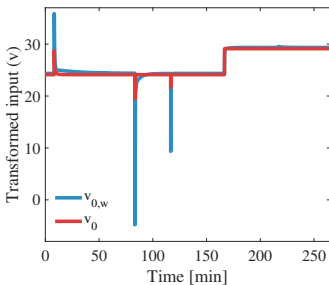
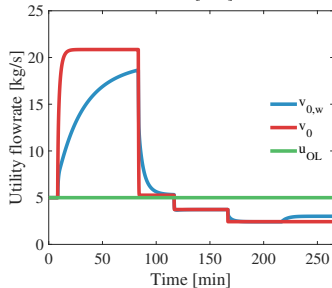
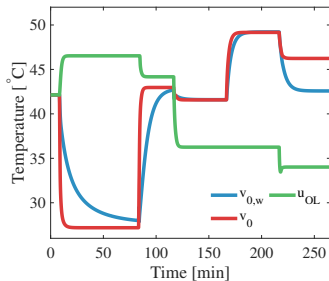
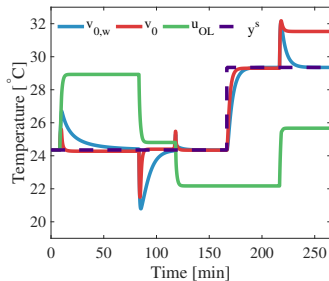
Step response from v to y



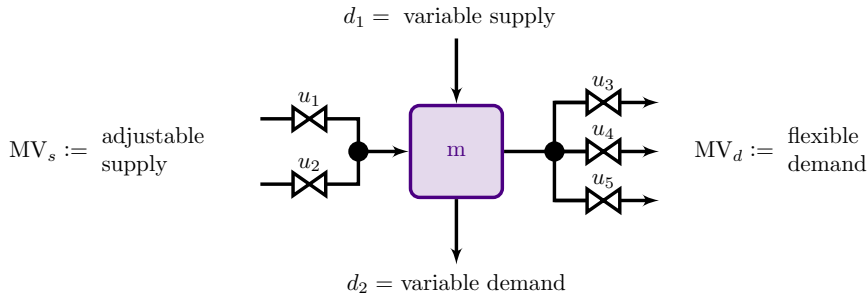
Step response from u to v



Example: control of heat exchanger hot outlet temperature. Closed loop responses



Handling constraints on manipulated variables (MVs) used to balance supply and demand



Inventory m : measure of demand-supply balance

Control objective: design decentralized control structure that sets the values of MV_s and MV_d to control m

Use MV_s when $d_2 > d_1$ Use MV_d when $d_1 > d_2$

Handling constraints on (MVs) used to balance supply and demand

How to handle MV saturation?

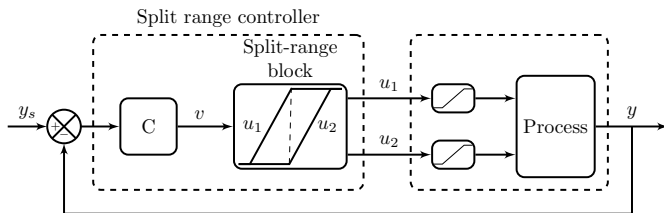
$MV_s = MV_s^{\max} \Rightarrow \text{use } MV_d$

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- split-range control

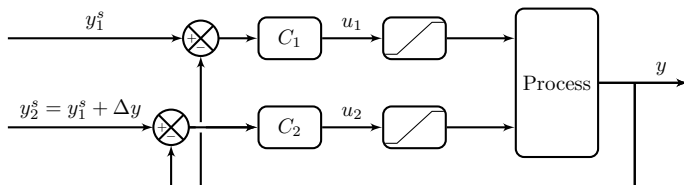


Handling constraints on (MVs) used to balance supply and demand

How to handle MV saturation?

$MV_s = MV_s^{\max} \Rightarrow$ use MV_d Implementation:

- split-range control
- controllers with different setpoints

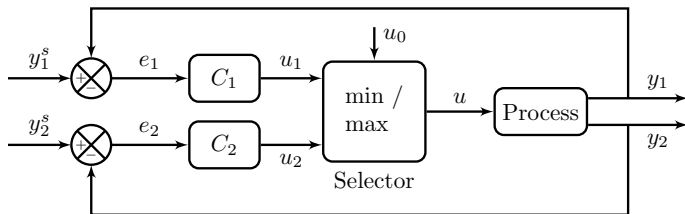


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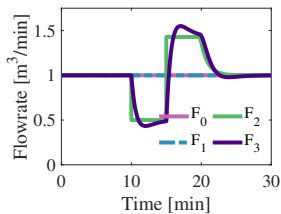
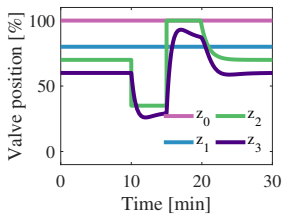
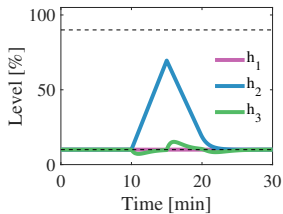
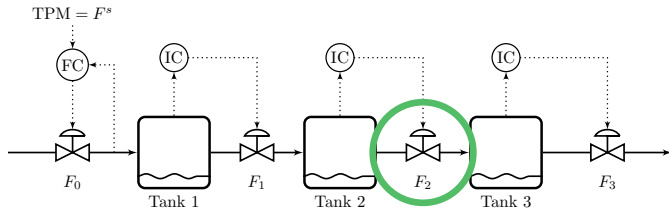
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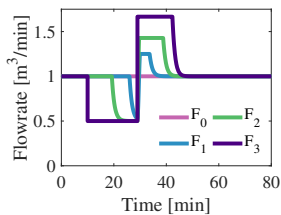
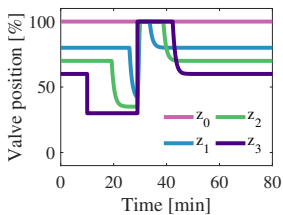
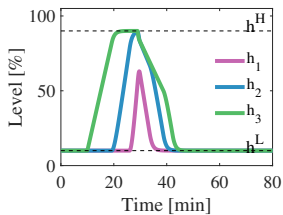
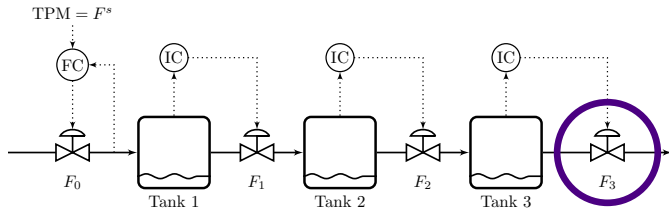
- split-range control
- controllers with different setpoints
- selectors



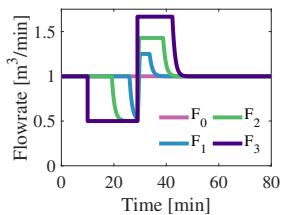
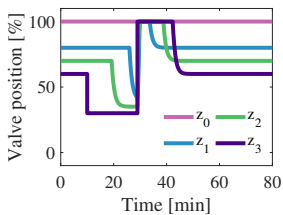
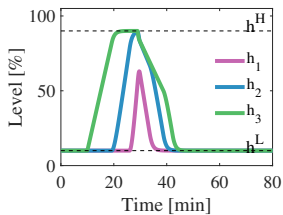
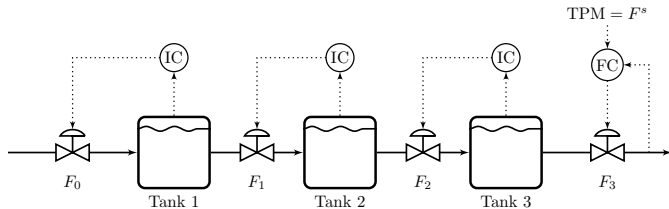
Bidirectional inventory control with optimal use of storage



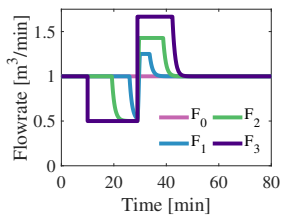
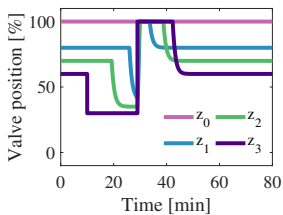
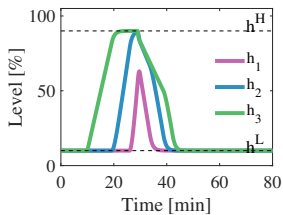
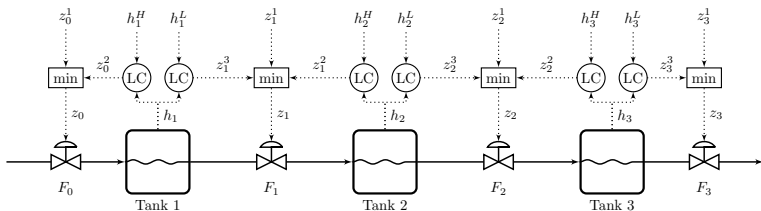
Bidirectional inventory control with optimal use of storage



Bidirectional inventory control with optimal use of storage



Bidirectional inventory control with optimal use of storage



Conclusion

Optimal operation and control of heat to power cycles

- steady-state and dynamic analysis

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