

# On Quaternion-Based Attitude Control and the Unwinding Phenomenon\*

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**Abstract**—The unit quaternion is a pervasive representation of rigid-body attitude used for the design and analysis of feedback control laws. Often, quaternion-based feedbacks require an additional mechanism that lifts a continuous attitude path to the unit quaternion space. When this mechanism is memoryless, it has a limited domain where it remains injective and leads to discontinuities when used globally. To remedy this limitation, we propose a hybrid-dynamic algorithm for lifting a continuous attitude path to the unit quaternion space. We show that this hybrid-dynamic mechanism allows us to directly translate quaternion-based controllers and their asymptotic stability properties (obtained in the unit-quaternion space) to the actual rigid-body-attitude space. We also show that when quaternion-based controllers are not designed to account for the double covering of the rigid-body-attitude space by a unit-quaternion parameterization, they can give rise to the unwinding phenomenon, which we characterize in terms of the projection of asymptotically stable sets.

## I. INTRODUCTION

Rigid-body attitude control is one of the canonical nonlinear control problems, with applications in aerospace and publications spanning many decades [1]–[3]. A fundamental characteristic of attitude control that imparts a fascinating difficulty is the topological complexity of its state space,  $SO(3)$ . In fact,  $SO(3)$  is not a vector space, but a boundaryless compact manifold. This precludes the existence of a continuous state-feedback control law that globally asymptotically stabilizes a particular attitude [4].

Unit quaternions are often used to parametrize  $SO(3)$ . While this parametrization is the minimal globally nonsingular representation of rigid-body attitude [5], its state space,  $\mathbb{S}^3$  (the set of unit-magnitude vectors in  $\mathbb{R}^4$ ) is also a boundaryless compact manifold and provides a double covering of  $SO(3)$  where exactly two unit quaternions correspond to the same attitude. This creates the need to stabilize a disconnected set of points in  $\mathbb{S}^3$  [3], which has its own topological obstructions [6]. These subtleties can cause confusion and, sometimes, lead to dubious claims regarding the globality of asymptotic stability [4] (see e.g. [7]). Nevertheless, unit quaternions are still used today by many authors (including the authors of this paper) to design feedback control algorithms for attitude control.

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A feedback designed using a unit-quaternion representation of attitude may not be consistent with a feedback defined on  $SO(3)$ . That is, for some attitude, the quaternion-based feedback controller may take on one of two possible values; hence, they may not be well defined on  $SO(3)$ . When this is the case, analysis for quaternion-based feedback is often carried out in  $\mathbb{S}^3$  with a lifted dynamic equation, but these results are not directly related to a feedback system that takes measurement from  $SO(3)$ . This begs the following questions: How is a unit quaternion obtained from a measurement of attitude? On what state-space is an inconsistent quaternion-based feedback defined? How is stability analysis done in the unit-quaternion space related to a stability result in the rigid-body-attitude state space?

While calculating the set of two quaternions that represent an attitude is a simple operation (see e.g. [8]), the process of selecting which quaternion to use for feedback is less obvious. In this work, we present a simple hybrid-dynamic algorithm for lifting a path from  $SO(3)$  to  $\mathbb{S}^3$ . That is, given a continuous trajectory in  $SO(3)$ , the lifting system dynamically produces a continuous quaternion trajectory. Our approach in this paper allows us to make an equivalence between stability results for a closed-loop system in the covering space and stability results for an inherently dynamic feedback control system for the actual plant. This justifies carrying out stability analysis in a unit-quaternion setting; however, when a unit-quaternion-based feedback does not respect the two-to-one covering of  $SO(3)$ , this translated stability result may not actually be desirable!

When certain inconsistent feedbacks are paired with a path-lifting algorithm, they can cause the so-called “unwinding phenomenon,” where the feedback unnecessarily rotates the rigid body up to a full rotation. This behavior was discussed in [4] in terms of lifts of paths and vector fields from  $SO(3)$  to  $\mathbb{S}^3$ . The results in this paper permit a characterization of unwinding in terms of the projection of asymptotically stable sets from the unit-quaternion space to the rigid-body-attitude space.

This paper is organized as follows. Section II provides the background material for attitude control and hybrid systems used in this paper. Section III shows how one can lift a path from  $SO(3)$  to  $\mathbb{S}^3$  in a limited region by using a memoryless map that selects a quaternion according to a metric. Section IV constructs a hybrid dynamic system that lifts paths from  $SO(3)$  to  $\mathbb{S}^3$ . We couple this system with a quaternion-based feedback in Section V and establish an equivalence of stability between two closed systems: one is defined in the unit-quaternion space and the other one is defined in the rigid-body-attitude space. Section VI discusses

the unwinding phenomenon in terms of the projection of asymptotically stable sets. Finally, we conclude the paper in Section VII.

## II. PRELIMINARIES

### A. Attitude kinematics, dynamics, and unit quaternions

The attitude of a rigid body is represented by a  $3 \times 3$  orthogonal matrix with unitary determinant: an element of the special orthogonal group of order three,

$$\text{SO}(3) = \{R \in \mathbb{R}^{3 \times 3} : R^\top R = RR^\top = I, \det R = 1\}.$$

The cross product between two vectors,  $y, z \in \mathbb{R}^3$ , is represented by a matrix multiplication:  $y \times z = [y]_\times z$ , where

$$[y]_\times = \begin{bmatrix} 0 & -y_3 & y_2 \\ y_3 & 0 & -y_1 \\ -y_2 & y_1 & 0 \end{bmatrix}.$$

The attitude of a rigid body is denoted as  $R \in \text{SO}(3)$ , where  $R$  transforms vectors expressed in the body frame to an inertial frame. The angular rate of the rigid body is denoted  $\omega$  and  $J = J^\top > 0$  is the inertia matrix. When  $\tau$  is a vector of external torques, the rigid-body kinematic and dynamic equations are

$$\dot{R} = R[\omega]_\times \quad (1a)$$

$$J\dot{\omega} = [J\omega]_\times \omega + \tau. \quad (1b)$$

The  $n$ -dimensional unit sphere embedded in  $\mathbb{R}^{n+1}$  is denoted as  $\mathbb{S}^n = \{x \in \mathbb{R}^{n+1} : x^\top x = 1\}$ . Members of  $\text{SO}(3)$  are often parametrized in terms of a rotation  $\theta \in \mathbb{R}$  about a fixed axis  $u \in \mathbb{S}^2$  by the so-called Rodrigues formula—the map  $\mathcal{U} : \mathbb{R} \times \mathbb{S}^2 \rightarrow \text{SO}(3)$  defined as

$$\mathcal{U}(\theta, u) = I + \sin(\theta)[u]_\times + (1 - \cos(\theta))[u]_\times^2. \quad (2)$$

Following (2), a unit quaternion,  $q \in \mathbb{S}^3$ , is defined as

$$q = \begin{bmatrix} \eta \\ \epsilon \end{bmatrix} = \pm \begin{bmatrix} \cos(\theta/2) \\ \sin(\theta/2)u \end{bmatrix} \in \mathbb{S}^3, \quad (3)$$

where  $\eta \in \mathbb{R}$  and  $\epsilon \in \mathbb{R}^3$ , represents an element of  $\text{SO}(3)$  through the map  $\mathcal{R} : \mathbb{S}^3 \rightarrow \text{SO}(3)$  defined as

$$\mathcal{R}(q) = I + 2\eta[\epsilon]_\times + 2[\epsilon]_\times^2. \quad (4)$$

Note that  $\mathcal{R}(q) = \mathcal{R}(-q)$  for each  $q \in \mathbb{S}^3$ . We denote the set-valued inverse map  $\mathcal{Q} : \text{SO}(3) \rightrightarrows \mathbb{S}^3$  as

$$\mathcal{Q}(R) = \{q \in \mathbb{S}^3 : \mathcal{R}(q) = R\}. \quad (5)$$

For convenience in notation, we will often write a quaternion as a pair  $q = (\eta, \epsilon)$ , rather than in the form of a vector.

With the identity element  $\mathbf{i} = (1, 0) \in \mathbb{S}^3$ , each  $q \in \mathbb{S}^3$  has an inverse  $q^{-1} = (\eta, -\epsilon)$  under the multiplication rule

$$q_1 \odot q_2 = \begin{bmatrix} \eta_1 \eta_2 - \epsilon_1^\top \epsilon_2 \\ \eta_1 \epsilon_2 + \eta_2 \epsilon_1 + [\epsilon_1]_\times \epsilon_2 \end{bmatrix},$$

where  $q_i = (\eta_i, \epsilon_i) \in \mathbb{R}^4$  and  $i \in \{1, 2\}$ . With this definition, the map  $\mathcal{R}$  is a group homomorphism, satisfying

$$\mathcal{R}(q_1)\mathcal{R}(q_2) = \mathcal{R}(q_1 \odot q_2) \quad \forall q_1, q_2 \in \mathbb{S}^3. \quad (6)$$

The quaternion state space,  $\mathbb{S}^3$ , is a *covering space* for its *base space*,  $\text{SO}(3)$ , and  $\mathcal{R} : \mathbb{S}^3 \rightarrow \text{SO}(3)$  is the *covering map*. Precisely, for every  $R \in \text{SO}(3)$ , there exists an open neighborhood  $U \subset \text{SO}(3)$  of  $R$  such that  $\mathcal{Q}(U)$  can be written as a union of two disjoint open sets  $O_1, O_2$ , where, for  $k \in \{1, 2\}$ , the restriction of  $\mathcal{R}$  to  $O_k$  is a diffeomorphism.

A fundamental property of a covering space is that a continuous path in the base space can be uniquely “lifted” to a continuous path in the covering space. In terms of  $\text{SO}(3)$  and  $\mathbb{S}^3$ , this means that for every continuous path  $R : [0, 1] \rightarrow \text{SO}(3)$  and for every  $p \in \mathcal{Q}(R(0))$ , there exists a unique continuous path  $q_p : [0, 1] \rightarrow \mathbb{S}^3$  satisfying  $q_p(0) = p$  and  $\mathcal{R}(q_p(t)) = R(t)$  for every  $t \in [0, 1]$  [9, Theorem 54.1]. We call any such path  $q_p$  a *lifting* of  $R$  over  $\mathcal{R}$ .

It is not just paths that can be lifted from  $\text{SO}(3)$  onto  $\mathbb{S}^3$ . In fact, flows and vector fields defined on  $\text{SO}(3)$  can be lifted onto  $\mathbb{S}^3$  as well [4]. In this direction, given a Lebesgue measurable function  $\omega : [0, 1] \rightarrow \mathbb{R}^3$  and an absolutely continuous path  $R : [0, 1] \rightarrow \text{SO}(3)$  satisfying (1a) for almost all  $t \in [0, 1]$ , any  $q : [0, 1] \rightarrow \mathbb{S}^3$  that is a lifting of  $R$  over  $\mathcal{R}$  satisfies the *quaternion kinematic equation*

$$\dot{q} = \begin{bmatrix} \dot{\eta} \\ \dot{\epsilon} \end{bmatrix} = \frac{1}{2}q \odot \nu(\omega) = \frac{1}{2}\Lambda(q)\omega, \quad (7)$$

for almost all  $t \in [0, 1]$ , where the maps  $\nu : \mathbb{R}^3 \rightarrow \mathbb{R}^4$  and  $\Lambda : \mathbb{S}^3 \rightarrow \mathbb{R}^{4 \times 3}$  are defined as

$$\nu(x) = \begin{bmatrix} 0 \\ x \end{bmatrix}, \quad \Lambda(q) = \begin{bmatrix} -\epsilon^\top \\ \eta I + [\epsilon]_\times \end{bmatrix}. \quad (8)$$

### B. Hybrid systems framework

In this work, we appeal to the hybrid systems framework of [10], [11]. This is in part due to the fact that the authors have developed quaternion-based hybrid feedback controllers that achieve global asymptotic stabilization of rigid body attitude in [3], [12] and also because the quaternion selection algorithm presented here is hybrid.

A hybrid system is a dynamical system that allows for both continuous and discrete evolution of the state. A hybrid system  $\mathcal{H}$  is defined by four objects: a *flow map*,  $F$ , governing continuous evolution of the state by a differential inclusion; a *jump map*,  $G$ , governing discrete evolution of the state by a difference inclusion; a *flow set*,  $C$ , dictating where continuous state evolution is allowed; and a *jump set*,  $D$ , dictating where discrete state evolution is allowed. Given a state  $x \in \mathbb{R}^n$ , we write a hybrid system as

$$\mathcal{H} \begin{cases} \dot{x} \in F(x) & x \in C \\ x^+ \in G(x) & x \in D. \end{cases}$$

We often refer to a hybrid system as  $\mathcal{H} = (F, G, C, D)$ .

Solutions to hybrid systems are defined on *hybrid time domains* and are parametrized by  $t$ , the amount of time spent flowing and  $j$ , the number of jumps accrued. A *compact hybrid time domain* is a set  $E \subset \mathbb{R}_{\geq 0} \times \mathbb{Z}_{\geq 0}$  of the form

$$E = \bigcup_{j=0}^J ([t_j, t_{j+1}], j), \quad (9)$$

where  $0 = t_0 \leq t_1 \leq \dots \leq t_{J+1}$ . We say that  $E$  is a *hybrid time domain* if, for each  $(T, J) \in E$ , the set  $E \cap ([0, T] \times \{0, 1, \dots, J\})$  is a compact hybrid time domain.

A *hybrid arc* is a function  $x : \text{dom } x \rightarrow \mathbb{R}^n$ , where  $\text{dom } x$  is a hybrid time domain and, for each fixed  $j$ , the map  $t \rightarrow x(t, j)$  is locally absolutely continuous on the interval

$$\mathcal{I}_j = \{t : (t, j) \in \text{dom } x\}. \quad (10)$$

A hybrid arc  $x$  is a *solution to the hybrid system*  $\mathcal{H} = (F, G, C, D)$  if  $x(0, 0) \in C \cup D$  and

- 1) for each  $j \in \mathbb{Z}_{\geq 0}$  such that  $\mathcal{I}_j$  has nonempty interior,  $\dot{x}(t, j) \in F(x(t, j))$  for almost all  $t \in \mathcal{I}_j$  and  $x(t, j) \in C$  for all  $t \in [\min \mathcal{I}_j, \sup \mathcal{I}_j]$ ,
- 2) for each  $(t, j) \in \text{dom } x$  such that  $(t, j+1) \in \text{dom } x$ ,  $x(t, j+1) \in G(x(t, j))$  and  $x(t, j) \in D$ .

A solution  $x$  to  $\mathcal{H}$  is *maximal* if it is not a truncation of another solution and *complete* if  $\text{dom } x$  is unbounded. Given a hybrid arc  $x$ , let  $\bar{J}(t) = \max\{j : (t, j) \in \text{dom } x\}$ . Then, the time projection of  $x$  is defined as

$$x \downarrow_t(t) = x(t, \bar{J}(t)). \quad (11)$$

In this work, by construction, the data of the hybrid system  $\mathcal{H}$  satisfies the *hybrid basic conditions*<sup>1</sup>:

- 1)  $C$  and  $D$  are closed sets in  $\mathbb{R}^n$ .
- 2)  $F : \mathbb{R}^n \rightrightarrows \mathbb{R}^n$  is an outer semicontinuous set-valued map, locally bounded on  $C$ , and such that  $F(x)$  is nonempty and convex for each  $x \in C$ .
- 3)  $G : \mathbb{R}^n \rightrightarrows \mathbb{R}^n$  is an outer semicontinuous set-valued map, locally bounded on  $D$ , and such that  $G(x)$  is nonempty for each  $x \in D$ .

These properties ensure, among other things, that asymptotic stability is robust to small perturbations [10].

A compact set  $\mathcal{A} \subset \mathbb{R}^n$  is *stable* for  $\mathcal{H}$  if for each open set  $U_\epsilon \supset \mathcal{A}$ , there exists an open set  $U_\delta \supset \mathcal{A}$  such that for each solution  $x$  to  $\mathcal{H}$  satisfying  $x(0, 0) \in U_\delta$ , it follows that  $x(t, j) \in U_\epsilon$  for all  $(t, j) \in \text{dom } x$ . A compact set  $\mathcal{A}$  is *unstable* if it is not stable. A compact set  $\mathcal{A}$  is *attractive* if there exists a neighborhood of  $\mathcal{A}$  from which each solution is bounded and converges to  $\mathcal{A}$ . The set of points in  $\mathbb{R}^n$  from which  $\mathcal{A}$  is attractive is called the *basin of attraction* of  $\mathcal{A}$ .

### III. INCONSISTENT QUATERNION-BASED CONTROL LAWS AND MEMORYLESS PATH LIFTING

It is commonplace to design a quaternion-based feedback law. That is, the control designer creates a continuous function  $\kappa : \mathbb{S}^3 \times \mathbb{R}^3 \rightarrow \mathbb{R}^3$  and closes a feedback loop around (1) by setting  $\tau(t) = \kappa(q(t), \omega(t))$ , where  $q(t)$  is selected to satisfy  $\mathcal{R}(q(t)) = R(t)$ , for each  $t \in \mathbb{R}_{\geq 0}$ . When  $\kappa$  satisfies

$$\kappa(q, \omega) = \kappa(-q, \omega) \quad \forall q \in \mathbb{S}^3, \quad (12)$$

we say that  $\kappa$  is *consistent*. When consistent feedbacks are used, as in [13], [14], there is no need for a quaternion representation, as  $\kappa$  could be defined in terms of  $R \in \text{SO}(3)$ .

<sup>1</sup>A set-valued map  $F : X \rightrightarrows Y$  (the notation  $\rightrightarrows$  signifies a map to the subsets of the codomain) is outer semicontinuous if its graph – the set  $\{(x, y) \in X \times Y : y \in F(x)\}$  – is closed. It is locally bounded on  $C$  if for each compact  $K \subset C$ , there exists a compact set  $M$  containing  $F(K)$ .

When a quaternion-based feedback is *inconsistent*, that is,

$$\exists q \in \mathbb{S}^3 \quad \kappa(q, \omega) \neq \kappa(-q, \omega), \quad (13)$$

the resulting feedback does not define a unique vector field on  $\text{SO}(3) \times \mathbb{R}^3$  because for  $R \in \text{SO}(3)$  satisfying  $\mathcal{Q}(R) = \{-q, q\}$ , the feedback  $\kappa(\mathcal{Q}(R), \omega)$  is a two-element set [4]. At this point, the control designer must, for every  $t \in \mathbb{R}_{\geq 0}$ , choose which  $q(t) \in \mathcal{Q}(R(t))$  to use for feedback. In this direction, we provide a quote from [2]:

“In many quaternion extraction algorithms, the sign of  $\eta$  is arbitrarily chosen positive. This approach is not used here, instead, the sign ambiguity is resolved by choosing the one that satisfies the associated kinematic differential equation. In implementation, this would probably imply keeping some immediate past values of the quaternion.”

This quotation suggests that inconsistent quaternion-based control laws require an extra quaternion memory state to lift the measured  $\text{SO}(3)$  trajectory to  $\mathbb{S}^3$ . In this direction, we reconstruct the discontinuous quaternion “extraction” algorithm mentioned in the quotation above in terms of a metric and use the ensuing discussion to motivate a dynamic algorithm for lifting an attitude trajectory from  $\text{SO}(3)$  to  $\mathbb{S}^3$ .

Define  $P : \mathbb{S}^3 \rightarrow [0, 2]$  as

$$P(q) = P(\eta, \epsilon) = 1 - \mathbf{i}^\top q = 1 - \eta = \frac{1}{2}((1 - \eta)^2 + \epsilon^\top \epsilon). \quad (14)$$

Then, the function  $d : \mathbb{S}^3 \times \mathbb{S}^3 \rightarrow [0, 2]$  defined as

$$d(q, p) = P(q^{-1} \odot p) = 1 - q^\top p \quad (15)$$

defines a metric on  $\mathbb{S}^3$ . From a geometric viewpoint,  $d(q, p)$  is the height of  $p$  on  $\mathbb{S}^3$  “above” the plane orthogonal to the vector  $q$  at  $q$ . Given a set  $Q \subset \mathbb{S}^3$ , we define the distance to  $Q$  from  $q$  (in terms of the metric  $d$ ) as

$$\text{dist}(q, Q) = \inf\{d(q, p) : p \in Q\}. \quad (16)$$

When the set  $Q$  in (16) takes the form of  $\mathcal{Q}(R)$  for some  $R \in \text{SO}(3)$ , the distance function also takes a special form. In particular, let  $\mathcal{Q}(R) = \{p, -p\}$ . Then,

$$\text{dist}(q, \mathcal{Q}(R)) = 1 - |q^\top p|. \quad (17)$$

One candidate method to lift a path from  $\text{SO}(3)$  to  $\mathbb{S}^3$  is to simply pick the quaternion representation of  $R$  that is closest to a specific quaternion in terms of the metric  $d$ . In particular, let us define the map  $\Phi : \mathbb{S}^3 \times \text{SO}(3) \rightrightarrows \mathbb{S}^3$  as

$$\Phi(q, R) = \underset{p \in \mathcal{Q}(R)}{\text{argmin}} d(q, p) = \underset{p \in \mathcal{Q}(R)}{\text{argmax}} q^\top p. \quad (18)$$

We now summarize the salient properties of the map  $\Phi$ .

**Lemma 1.** *Let  $q \in \mathbb{S}^3$  and  $R \in \text{SO}(3)$ . The following are equivalent:*

- 1)  $\Phi(q, R)$  is single valued,
- 2)  $0 \leq \text{dist}(q, \mathcal{Q}(R)) < 1$ ,
- 3)  $q^\top p \neq 0$  for all  $p \in \mathcal{Q}(R)$  so that  $q^\top \Phi(q, R) > 0$ ,
- 4)  $R \neq \mathcal{U}(\pi, u)\mathcal{R}(q)$  for some  $u \in \mathbb{S}^2$ .

**Lemma 2.** For every  $\hat{q} \in \mathbb{S}^3$ , every continuous  $R : [0, 1] \rightarrow \text{SO}(3)$ , and every continuous  $q : [0, 1] \rightarrow \mathbb{S}^3$  satisfying  $d(\hat{q}, q(0)) < 1$ ,  $\mathcal{R}(q(t)) = R(t)$ , and  $\text{dist}(\hat{q}, \mathcal{Q}(R(t))) < 1$  for all  $t \in [0, 1]$ , it follows that  $\Phi(\hat{q}, R(t)) = q(t)$  for all  $t \in [0, 1]$ .

**Lemma 3.** For all  $\hat{q} \in \mathbb{S}^3$  and  $R \in \text{SO}(3)$  such that  $\text{dist}(\hat{q}, \mathcal{Q}(R)) < 1$ , it follows that

$$\Phi(\Phi(\hat{q}, R), R) = \Phi(\hat{q}, R). \quad (19)$$

We note that when  $q$  in (18) is fixed as  $q = \mathbf{i}$ , the map  $R \mapsto \Phi(\mathbf{i}, R)$  returns a quaternion with nonnegative  $\eta$ . In the companion paper [15], this map is thoroughly analyzed in terms of a widely used inconsistent feedback and is found to introduce discontinuities that make the closed-loop system susceptible to arbitrarily small measurement disturbances that can stabilize points far from the desired attitude. As we show in the following section, these effects can be mitigated by use of a hybrid path-lifting algorithm.

#### IV. A HYBRID-DYNAMIC PATH-LIFTING ALGORITHM

In this section, we present a hybrid-dynamic algorithm for lifting a path from  $\text{SO}(3)$  to  $\mathbb{S}^3$ . The algorithm features a memory state  $\hat{q} \in \mathbb{S}^3$ , which provides a reference point for choosing the closest quaternion with respect to  $d$ . This memory state usually remains constant, but is updated when necessary to ensure that  $\text{dist}(\hat{q}, \mathcal{Q}(R)) < 1$ . The logic behind the algorithm is pictured in Fig. 1.

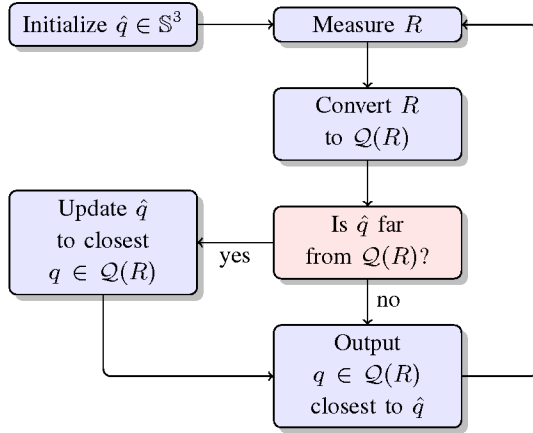


Fig. 1. Flow chart for dynamic path lifting from  $\text{SO}(3)$  to  $\mathbb{S}^3$ .

Given  $\alpha \in (0, 1)$ , we define  $C_\ell, D_\ell \subset \text{SO}(3) \times \mathbb{S}^3$  as

$$\begin{aligned} C_\ell &= \{(\hat{q}, R) \in \text{SO}(3) \times \mathbb{S}^3 : \text{dist}(\hat{q}, \mathcal{Q}(R)) \leq \alpha\} \\ D_\ell &= \{(\hat{q}, R) \in \text{SO}(3) \times \mathbb{S}^3 : \text{dist}(\hat{q}, \mathcal{Q}(R)) \geq \alpha\}. \end{aligned} \quad (20a)$$

Then, we propose the hybrid path-lifting algorithm as

$$\mathcal{H}_\ell \begin{cases} \dot{\hat{q}} = 0 & (\hat{q}, R) \in C_\ell \\ \hat{q}^+ \in \Phi(\hat{q}, R) & (\hat{q}, R) \in D_\ell, \end{cases} \quad (20b)$$

with continuous input  $R : \mathbb{R}_{\geq 0} \rightarrow \text{SO}(3)$  and output

$$q = \Phi(\hat{q}, R). \quad (20c)$$

Now, we analyze the properties of the hybrid path-lifting algorithm by analyzing the solutions of an autonomous system that generates a wide class of useful trajectories in  $\text{SO}(3)$  as input to  $\mathcal{H}_\ell$ . In what follows, we let  $\mathbb{B}$  denote the closed unit ball in  $\mathbb{R}^n$ .

**Theorem 4.** Let  $\alpha \in (0, 1)$  and  $M > 0$ . The hybrid system

$$\underbrace{\begin{cases} \dot{\hat{q}} = 0 \\ \dot{R} \in R[M\mathbb{B}]_\times \\ (\hat{q}, R) \in C_\ell \end{cases}}_{(21a)} \quad \underbrace{\begin{cases} \hat{q}^+ \in \Phi(\hat{q}, R) \\ R^+ = R \\ (\hat{q}, R) \in D_\ell \end{cases}}_{(21b)} \quad (21a)$$

and its output

$$q = \Phi(\hat{q}, R) \quad (21b)$$

have the following properties:

- 1) All maximal solutions are complete.
- 2) The time between jumps is bounded below by  $2\alpha/M$ .
- 3) The function  $q \downarrow_t : [0, \infty) \rightarrow \mathbb{S}^3$  is continuous and satisfies  $\mathcal{R}(q \downarrow_t(t)) = R \downarrow_t(t)$ .

#### V. QUATERNION FEEDBACK WITH DYNAMIC LIFTING

With the hybrid path-lifting algorithm in place, we consider the feedback interconnection of (1) with the hybrid path-lifting system and a quaternion-based hybrid controller. Consider the hybrid controller  $\mathcal{H}_c$ , that takes a measurement  $y \in \mathbb{S}^3 \times \mathbb{R}^3$  as input, has a state  $\xi \in \mathcal{X} \subset \mathbb{R}^n$ , has dynamics

$$\mathcal{H}_c \begin{cases} \dot{\xi} \in F_c(y, \xi) & (y, \xi) \in C_c \\ \xi^+ \in G_c(y, \xi) & (y, \xi) \in D_c \end{cases} \quad (22)$$

and has the continuous torque output  $\kappa : \mathbb{S}^3 \times \mathbb{R}^3 \times \mathcal{X} \rightarrow \mathbb{R}^3$ . We assume that  $\mathcal{H}_c$  satisfies the hybrid basic conditions.

Often, quaternion-based controllers are analyzed using the lifted attitude dynamics defined by equations (7) and (1b), thus neglecting any auxiliary lifting system. The next theorem essentially justifies this approach by relating solutions of the whole closed-loop system to a reduced system that has the quaternion-based hybrid controller in feedback with the lifted system defined by (7) and (1b).

Before stating the theorem, we define two closed-loop systems. The first closed-loop system is the feedback interconnection of (1) with the series interconnection of  $\mathcal{H}_\ell$  and  $\mathcal{H}_c$ . This yields the system  $\mathcal{H}_1$  with state  $(R, \omega, \hat{q}, \xi) \in \text{SO}(3) \times \mathbb{R}^3 \times \mathbb{S}^3 \times \mathcal{X}$  defined as

$$\begin{aligned} \dot{R} &= R[\omega]_\times \\ J\dot{\omega} &= [J\omega]_\times \omega + \kappa(\Phi(\hat{q}, R), \omega, \xi) \\ \dot{\hat{q}} &= 0 \\ \dot{\xi} &\in F_c(\Phi(\hat{q}, R), \omega, \xi) \\ &\underbrace{(\hat{q}, R) \in C_\ell, (\Phi(\hat{q}, R), \omega, \xi) \in C_c}_{(23)} \end{aligned} \quad (23)$$

$$\begin{aligned} R^+ &= R & R^+ &= R \\ \omega^+ &= \omega & \omega^+ &= \omega \\ \hat{q}^+ &\in \Phi(\hat{q}, R) & \hat{q}^+ &= \hat{q} \\ \xi^+ &= \xi & \xi^+ &\in G_c(\Phi(\hat{q}, R), \omega, \xi) \\ &\underbrace{(\hat{q}, R) \in D_\ell, (\Phi(\hat{q}, R), \omega, \xi) \in D_c}_{(23)} \end{aligned}$$

In (23), we mean that flows can only occur when flows can occur for both the controller and lifting subsystems. Jumps can occur when either the controller or lifting subsystems can jump. It may be possible that both  $(\hat{q}, R) \in D_\ell$  and  $(\Phi(\hat{q}, R), \omega, \xi) \in D_c$  are satisfied since  $D_\ell \cap D_c \neq \emptyset$ , in which case, either jump is possible. That is, either  $\hat{q}^+ \in \Phi(\hat{q}, R)$  or  $\xi^+ \in G_c(\Phi(\hat{q}, R), \omega, \xi)$ . This ensures that the closed-loop system satisfies the hybrid basic conditions.

The feedback interconnection of the lifted attitude system and the hybrid controller  $\mathcal{H}_c$  yields the reduced system  $\mathcal{H}_2$  with state  $(q, \omega, \xi) \in \mathbb{S}^3 \times \mathbb{R}^3 \times \mathcal{X}$  defined as

$$\begin{aligned} \dot{q} &= \frac{1}{2}q \otimes \nu(\omega) & q^+ &= q \\ J\dot{\omega} &= [J\omega]_\times \omega + \kappa(q, \omega, \xi) & \omega^+ &= \omega \\ \dot{\xi} &\in F_c(q, \omega, \xi) & \xi^+ &\in G_c(q, \omega, \xi) \end{aligned} \quad (24)$$

$$\underbrace{(q, \omega, \xi) \in C_c}_{(q, \omega, \xi) \in C_c} \quad \underbrace{\xi^+ \in G_c(q, \omega, \xi)}_{(q, \omega, \xi) \in D_c}$$

In what follows, we adopt the economical notation

$$(x_1(t, j), \dots, x_k(t, j)) = (x_1, \dots, x_k)|_{(t, j)}.$$

The next lemma follows from a straightforward comparison of solutions between the systems (23) and (24).

**Lemma 5.** *For every solution  $(R_1, \omega_1, \hat{q}_1, \xi_1) : E_1 \rightarrow \text{SO}(3) \times \mathbb{R}^3 \times \mathbb{S}^3 \times \mathcal{X}$  to (23) such that  $\text{dist}(\hat{q}_1, \mathcal{Q}(R_1))|_{(0,0)} < 1$ , there exists a solution  $(q_2, \omega_2, \xi_2) : E_2 \rightarrow \mathbb{S}^3 \times \mathbb{R}^3 \times \mathcal{X}$  to (24) such that for every  $(t, j) \in E_1$ , there exists  $j' \leq j$  such that  $(t, j') \in E_2$  and*

$$(R_1, \Phi(\hat{q}_1, R_1), \omega_1, \xi_1)|_{(t, j)} = (\mathcal{R}(q_2), q_2, \omega_2, \xi_2)|_{(t, j')}. \quad (25)$$

*Conversely, for every solution  $(q_2, \omega_2, \xi_2) : E_2 \rightarrow \mathbb{S}^3 \times \mathbb{R}^3 \times \mathcal{X}$  to (24), there exists a solution  $(R_1, \omega_1, \hat{q}_1, \xi_1) : E_1 \rightarrow \text{SO}(3) \times \mathbb{R}^3 \times \mathbb{S}^3 \times \mathcal{X}$  to (23) such that for every  $(t, j') \in E_2$ , there exists  $j \geq j'$  such that  $(t, j) \in E_1$  and (25) is satisfied.*

Now, we can state our main result.

**Theorem 6.** *Let  $\alpha \in (0, 1)$ . A compact set  $\mathcal{A}_\ell \subset \mathbb{S}^3 \times \mathbb{R}^3 \times \mathcal{X}$  is stable (unstable) for the system (24) if and only if the compact set*

$$\mathcal{A} = \{(R, \omega, \hat{q}, \xi) : (\Phi(\hat{q}, R), \omega, \xi) \in \mathcal{A}_\ell, \text{dist}(\hat{q}, \mathcal{Q}(R)) \leq \alpha\} \quad (26)$$

*is stable (unstable) for the system (23). Moreover,  $\mathcal{A}_\ell$  is attractive from  $\mathcal{B}_\ell \subset \mathbb{S}^3 \times \mathbb{R}^3 \times \mathcal{X}$  for the system (24) if and only if  $\mathcal{A}$  is attractive from*

$$\mathcal{B} = \{(R, \omega, \hat{q}, \xi) : (\Phi(\hat{q}, R), \omega, \xi) \in \mathcal{B}_\ell, \text{dist}(\hat{q}, \mathcal{Q}(R)) < 1\}. \quad (27)$$

for the system (23).

Theorem 6 is a ‘‘separation principle’’ in the sense that the control designer can design a feedback controller for the lifted system defined by equations (7), (1b) and then expect the asymptotic stability results to translate directly to the actual system (1) when the hybrid-dynamic path-lifting system,  $\mathcal{H}_\ell$ , is used to lift the trajectory from  $\text{SO}(3)$  to  $\mathbb{S}^3$ . However, when the set  $\mathcal{A}_\ell$  above is not designed to respect

$$\begin{array}{ccc} \mathbb{S}^3 \times \mathbb{R}^3 \times \mathcal{X} & \xrightarrow{\Theta} & \text{SO}(3) \times \mathbb{R}^3 \times \mathbb{S}^3 \times \mathcal{X} \\ \downarrow \text{Proj}_{\mathbb{S}^3 \times \mathbb{R}^3} & & \downarrow \text{Proj}_{\text{SO}(3) \times \mathbb{R}^3} \\ \mathbb{S}^3 \times \mathbb{R}^3 & \xrightarrow{\mathcal{P}} & \text{SO}(3) \times \mathbb{R}^3 \end{array}$$

Fig. 2. Commutative diagram of set projections.

the double cover of  $\text{SO}(3)$  by  $\mathbb{S}^3$ , the resulting closed-loop system can exhibit the symptom of *unwinding*.

## VI. THE UNWINDING PHENOMENON

Though the behavior has been documented for decades (see e.g. [16]), the term unwinding was perhaps first coined by [4] to describe a symptom of controllers that are designed for topologically complex manifolds using local coordinates in a covering space. In particular, the ambiguity arising from the quaternion representation can cause inconsistent quaternion-based controllers to unnecessarily rotate the rigid body through a full rotation. This behavior can be induced by inconsistent control laws that are designed to stabilize a single point in  $\mathbb{S}^3$  while leaving the antipodal point unstable, despite the fact that they both correspond to the same physical orientation. This behavior was elegantly described in [4] in terms of the lifts of paths and vector fields. We now provide a characterization in terms of projections of asymptotically stable sets onto the plant state space.

For the following lemma, we recall that for some set  $Z \subset X \times Y$ , its projection onto  $X$  is defined as

$$\text{Proj}_X Z = \{x \in X : \exists y \in Y (x, y) \in Z\}. \quad (28)$$

Now, we characterize how a set of interest in the covering space appears when projected to the actual plant state space. In this direction, we define an operator  $\Theta : \mathbb{S}^3 \times \mathbb{R}^3 \times \mathcal{X} \rightrightarrows \text{SO}(3) \times \mathbb{R}^3 \times \mathbb{S}^3 \times \mathcal{X}$  that relates a set  $\mathcal{A}_\ell$  to (26) as

$$\Theta(\mathcal{A}_\ell) = \{(R, \omega, \hat{q}, \xi) : (\Phi(\hat{q}, R), \omega, \xi) \in \mathcal{A}_\ell, \text{dist}(\hat{q}, \mathcal{Q}(R)) \leq \alpha\}. \quad (29)$$

Further, we define  $\mathcal{P} : \mathbb{S}^3 \times \mathbb{R}^3 \rightarrow \text{SO}(3) \times \mathbb{R}^3$  as

$$\mathcal{P}(\mathcal{A}_\ell^p) = \{(\mathcal{R}(q), \omega) : (q, \omega) \in \mathcal{A}_\ell^p\}. \quad (30)$$

**Lemma 7.** *The maps  $\mathcal{P}$  and  $\Theta$  satisfy*

$$\mathcal{P} \circ \text{Proj}_{\mathbb{S}^3 \times \mathbb{R}^3} = \text{Proj}_{\text{SO}(3) \times \mathbb{R}^3} \circ \Theta, \quad (31)$$

that is, the diagram Fig. 2 commutes.

We lend the symbol  $\Pi$  to the composition in (31), that is,

$$\Pi = \mathcal{P} \circ \text{Proj}_{\mathbb{S}^3 \times \mathbb{R}^3} = \text{Proj}_{\text{SO}(3) \times \mathbb{R}^3} \circ \Theta. \quad (32)$$

Lemma 7 clarifies the purpose of controllers designed in the covering space. Suppose it is desired to asymptotically stabilize some set  $\mathcal{A}_p \subset \text{SO}(3) \times \mathbb{R}^3$  (in the sense that  $\mathcal{A}_p$  is the projection of an asymptotically stable set in the extended

state space including controller states). If the dynamic controller (22) is designed to stabilize  $\mathcal{A}_\ell \subset \mathbb{S}^3 \times \mathbb{R}^3 \times \mathcal{X}$  in the extended covering state space (as in Lemma 7), one would obviously desire that  $\Pi(\mathcal{A}_\ell) = \mathcal{A}_p$ , but this should not be the only requirement. In fact, one should design  $\mathcal{A}_\ell$  such that

$$\text{Proj}_{\mathbb{S}^3 \times \mathbb{R}^3} \mathcal{A}_\ell = \{(q, \omega) : (\mathcal{R}(q), \omega) \in \Pi(\mathcal{A}_\ell)\} = \mathcal{P}^{-1}(\Pi(\mathcal{A}_\ell)), \quad (33)$$

in which case, we say that  $\mathcal{A}_\ell$  is *consistent*. That is, the controller should stabilize all points in the lifted state space whose projections under  $\mathcal{P}$  map to a point in  $\mathcal{A}_p$ . When (33) is not satisfied, there may be points in the plant state space whose stability relies on the controller's quaternion representation of attitude. This sentiment is made precise in the following Lemma.

**Lemma 8.** *Let  $\mathcal{A}_\ell \subset \mathbb{S}^3 \times \mathbb{R}^3 \times \mathcal{X}$ . If  $\mathcal{A}_\ell$  is not consistent, that is, it does not satisfy (33), then there exists  $(R, \omega) \in \Pi(\mathcal{A}_\ell)$  and  $q \in \mathcal{Q}(R)$  such that for every  $\hat{q} \in \mathbb{S}^3$  satisfying  $d(q, \hat{q}) \leq \alpha$  and every  $\xi \in \mathcal{X}$ ,  $(R, \omega, \hat{q}, \xi) \notin \Theta(\mathcal{A}_\ell)$ .*

Unfortunately, many designs proposed in the literature (e.g. [2], [7], [13], [16], [17]) do not satisfy (33) and instead, render the point  $(\mathbf{i}, 0) \in \mathbb{S}^3 \times \mathbb{R}^3$  a stable equilibrium, while rendering  $(-\mathbf{i}, 0) \in \mathbb{S}^3 \times \mathbb{R}^3$  an unstable equilibrium, with  $\Pi((\mathbf{i}, 0)) = \Pi((-\mathbf{i}, 0)) = (I, 0)$ . Passed through the map  $\Theta$ , this creates two distinct, disconnected equilibrium sets in the extended state space,  $\text{SO}(3) \times \mathbb{R}^3 \times \mathbb{S}^3$  with one set asymptotically stable and the other, unstable. However, both equilibrium sets project to  $(I, 0)$ , so, the desired attitude can be stable, or unstable, depending on the controller's knowledge of the quaternion representation of  $R$ !

Finally, we note that in recent works, the authors have presented a hybrid strategy for achieving a global result that is robust to measurement noise in [3]. The results in [3] satisfy (33) and can be applied to 6-DOF rigid bodies [18] and synchronization of a network of rigid bodies [12]. Several works also suggest the use of a memoryless (i.e.  $\mathcal{X} = \emptyset$ ) discontinuous quaternion-based feedback using the term  $-\text{sgn}(\eta)\epsilon$ . Such methods have been suggested in [16], [19], [20] and certainly do avoid the unwinding phenomenon; however, these control laws are not robust to arbitrarily small measurement noise [3].

## VII. CONCLUSION

Achieving global asymptotic stability of rigid-body attitude is fundamentally difficult. When feedbacks are designed in a unit-quaternion framework, it is not always clear how any asymptotic stability properties for the closed-loop system obtained in the unit-quaternion space can be translated to the actual rigid-body-attitude space. In fact, the translation of any asymptotic stability properties (especially those that are global) depends on how the measured rigid-body-attitude trajectory is lifted to a unit-quaternion trajectory. In this paper, we have proposed a hybrid-dynamic path-lifting algorithm, which, when paired with a quaternion-based feedback, allows one to translate stability results obtained in the unit-quaternion space directly to the rigid-body-attitude space.

However, such a feedback system can induce an undesirable unwinding response when the quaternion-based feedback is not designed to stabilize all unit-quaternion representations of the desired attitude. As the authors have shown in [3], [12], [18], these issues can be resolved with robustness to measurement noise by a simple hybrid feedback.

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