

TKP4140 Process Control  
Department of Chemical Engineering NTNU  
Autumn 2021 - Exam

Solution

**Problem 1: Simple process**

a) The system response to a step change in the input for a first-order process is given by

$$y(s) = g(s)u(s) = \frac{k}{\tau s + 1}u(s). \quad (1)$$

The time-domain response can be calculated taking the inverse Laplace transform:

$$y(t) = k(1 - e^{-t/\tau})u(t). \quad (2)$$

Using the transfer functions given in the problem for a unit step input, we get:

$$y_1(t) = 6(1 - e^{-t/18}), \quad (3a)$$

$$y_2(t) = -3(1 - e^{-t/2}), \quad (3b)$$

$$y(t) = y_1(t) + y_2(t). \quad (3c)$$

Values of  $y_1(t)$ ,  $y_2(t)$ , and  $y(t)$  at different time points are shown in Table 1. The system responses are also shown in Figure 1.

Table 1: Time-domain system responses to a unit step input.

$t$	$y_1(t)$	$y_2(t)$	$y(t)$
0	0	0	0
1	0.324	-1.180	-0.856
2	0.631	-1.896	-1.266
5	1.455	-2.754	-1.299
10	2.557	-2.979	-0.422
20	4.025	-3	1.025
40	5.350	-3	2.350
100	5.977	-3	2.977

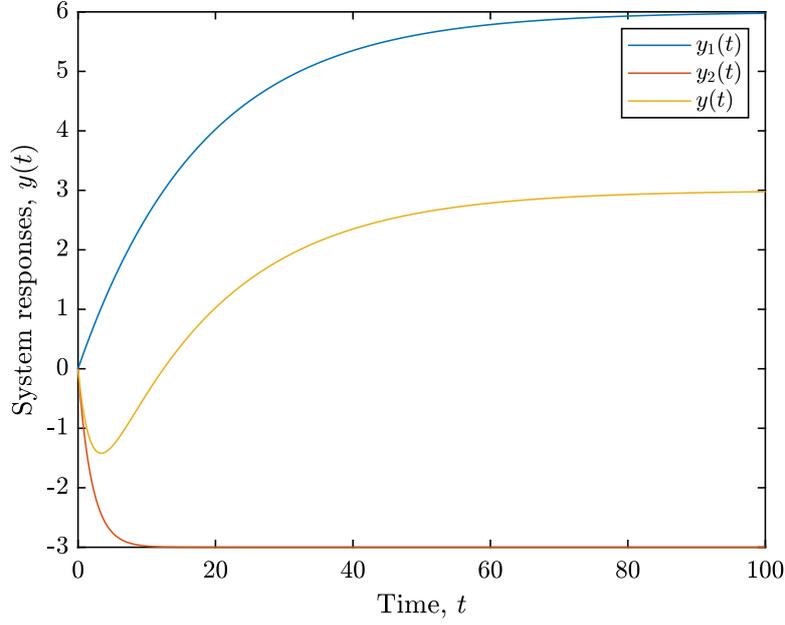


Figure 1: Time-domain system responses to a unit step input.

- b) The response of  $y(t)$  is called an inverse response and can be predicted from the RHP zero in the transfer function  $g(s)$  from  $u$  to  $y$ , which can be calculated as

$$g(s) = g_1(s) + g_2(s) = \frac{6}{18s + 1} + \frac{-3}{2s + 1} = \frac{3(-14s + 1)}{(18s + 1)(2s + 1)}. \quad (4)$$

The transfer function has a zero at  $s = 1/14$  (RHP zero).

- c) The half rule approximation of  $g(s)$  to get a first-order model is:

$$k = 3, \quad (5a)$$

$$\tau = 18 + 2/2 = 19, \quad (5b)$$

$$\theta = 14 + 2/2 = 15, \quad (5c)$$

$$g_{app}(s) \approx \frac{3e^{-15s}}{19s + 1}. \quad (5d)$$

The PI-controller tuning are found by applying the SIMC tuning method to  $g_{app}(s)$ . For “tight control“, we select  $\tau_c = \theta = 15$ .

$$K_c = \frac{1}{k} \frac{\tau}{\tau_c + \theta} = \frac{1}{3} \frac{19}{2 \cdot 15} = 0.211, \quad (6a)$$

$$\tau_I = \min(\tau, 4(\tau_c + \theta)) = \min(19, 4(2 \cdot 15)) = 19. \quad (6b)$$

## Problem 2: PI control of first-order with delay process

a) The SIMC PI-rules for a first-order plus delay process are:

$$K_c = \frac{1}{k} \frac{\tau}{\tau_c + \theta}, \quad (7a)$$

$$\tau_I = \min(\tau, 4(\tau_c + \theta)). \quad (7b)$$

For “tight control“, we select  $\tau_c = \theta$ .

b) Given the process

$$g(s) = \frac{6}{(32s + 1)(8s + 1)}, \quad (8)$$

the half-rule approximation to get a first-order plus delay model can be calculated as

$$k = 6, \quad (9a)$$

$$\tau = 32 + 8/2 = 36, \quad (9b)$$

$$\theta = 8/2 = 4, \quad (9c)$$

$$g_{app}(s) \approx \frac{6e^{-4s}}{36s + 1}. \quad (9d)$$

The PI-controller tuning are found by applying the SIMC tuning method to  $g_{app}(s)$ . For “tight control“, we select  $\tau_c = \theta = 4$ .

$$K_c = \frac{1}{k} \frac{\tau}{\tau_c + \theta} = \frac{1}{6} \frac{36}{2 \cdot 4} = 0.75, \quad (10a)$$

$$\tau_I = \min(\tau, 4(\tau_c + \theta)) = \min(36, 4(2 \cdot 4)) = 32. \quad (10b)$$

c) PID control is recommended because this is a second-order process where  $\tau_2 > \theta$ . Given a second-order plus delay process

$$g(s) = \frac{k}{(\tau_1 s + 1)(\tau_2 s + 1)} e^{-\theta s}, \quad (11)$$

the PID settings can be calculated using the SIMC tuning method as follows:

$$K_c = \frac{1}{k} \frac{\tau_1}{\tau_c + \theta} = \frac{1}{6} \frac{32}{\tau_c}, \quad (12a)$$

$$\tau_I = \min(\tau_1, 4(\tau_c + \theta)) = \min(32, 4\tau_c), \quad (12b)$$

$$\tau_D = \tau_2. \quad (12c)$$

The PID settings above are a function of the closed-loop time constant  $\tau_c$ , which is a tuning parameter. For this process, we cannot set  $\tau_c = 0$  because  $\theta = 0$ , and this would result in infinitely fast control with  $K_c = \infty$ . We do not have enough information to select a good value for  $\tau_c$ . However, we generally use a smaller  $\tau_c$  for PID than for the corresponding PI, so we should choose  $\tau_c$  smaller than 4. Given this information, we could tune it online.

- d) The block diagram of the control system is shown in Fig. 2. The open-loop response (no control) to a step disturbance at the input is:

$$y(s) = g(s)d(s). \quad (13)$$

On the other hand, the closed-loop response (with control) is:

$$y(s) = T_d(s)d(s), \quad (14)$$

where

$$T_d(s) = \frac{g(s)}{1 + g(s)c(s)}. \quad (15)$$

Note that  $T_d(s)$  has a zero at the origin because of I-action. So  $T_d(0) = 0$ . Both responses are depicted in Fig. 3. The open-loop response is s-shaped because  $g(s)$  is a second-order process. The closed-loop response follows the open-loop response initially, then reaches a peak somewhere between  $\tau_c = 4$  and  $\tau_c = 36$ , and finally it goes back to zero because of I-action.

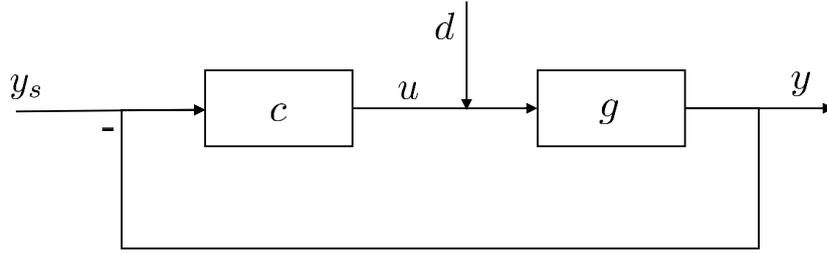


Figure 2: Block diagram of the control system.

- e) Given the process

$$g(s) = \frac{6}{(32s + 1)(8s + 1)}, \quad (16)$$

and the controller

$$c(s) = K_c \left( \frac{\tau_I s + 1}{\tau_I s} \right) = 0.75 \left( \frac{32s + 1}{32s} \right) = 0.023 \left( \frac{32s + 1}{s} \right), \quad (17)$$

we can calculate the loop transfer function as follows:

$$L(s) = c(s)g(s) \quad (18a)$$

$$= 0.75 \left( \frac{32s + 1}{32s} \right) \frac{6}{(32s + 1)(8s + 1)} \quad (18b)$$

$$= \frac{0.1406}{s(8s + 1)}. \quad (18c)$$

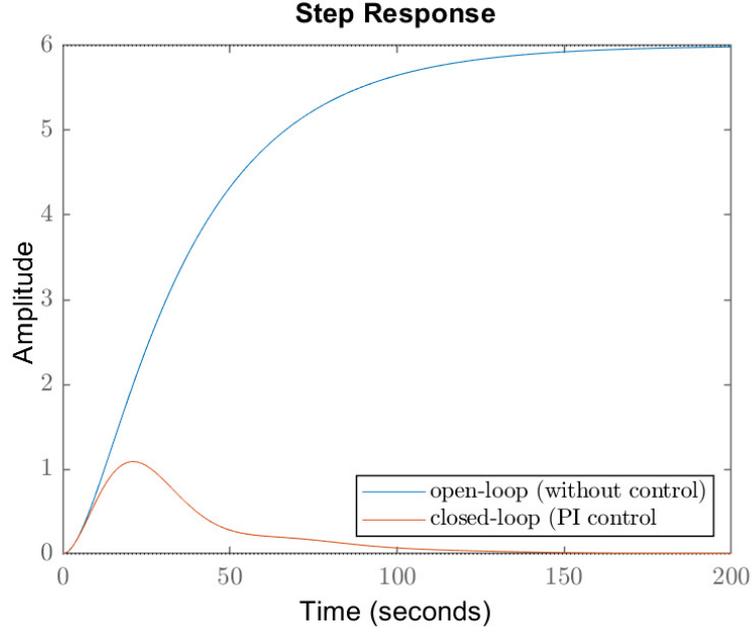


Figure 3: Open-loop and closed-loop responses to a step disturbance at the input.

The gain and phase as a function of the frequency can be calculated as

$$|L| = \frac{0.1406}{\omega} \cdot \frac{1}{\sqrt{8^2\omega^2 + 1}}, \quad (19)$$

$$\angle L = -90^\circ - \tan^{-1}(8\omega). \quad (20)$$

Values for the gain and phase at different frequencies are shown in Table 2. The Bode plot of the loop transfer function  $L = gc$  is shown in Fig. 4. The critical frequency is  $\omega_c \approx 0.106$ . The phase margin (PM), gain margin (GM) and maximum time delay error ( $\theta_{\max}$ ) are calculated as follows:

$$\text{PM} = 180^\circ + \angle L(j\omega_c) = 180^\circ - 130.30^\circ = 49.7^\circ, \quad (21)$$

$$\text{GM} = \frac{1}{|L(j\omega_{180})|} = \frac{1}{0} = \infty,^1 \quad (22)$$

$$\text{DM} = \theta_{\max} = \frac{\text{PM} [\text{rad}]}{\omega_c} = \frac{0.867}{0.106} = 8.18\text{s}. \quad (23)$$

<sup>1</sup>The phase of  $L$  reaches  $-180^\circ$  at infinite frequency ( $\omega_{180} = \infty$ ), where  $|L(j\omega_{180})| = 0$ .

Table 2: Gain and phase shifts at different frequencies.

$\omega$	$ L $	$\angle L$
0.001	140.6	-90.458
0.01	14.015	-94.574
0.1	1.0979	-128.66
0.106	1.0116	-130.30
1	0.0174	-172.87

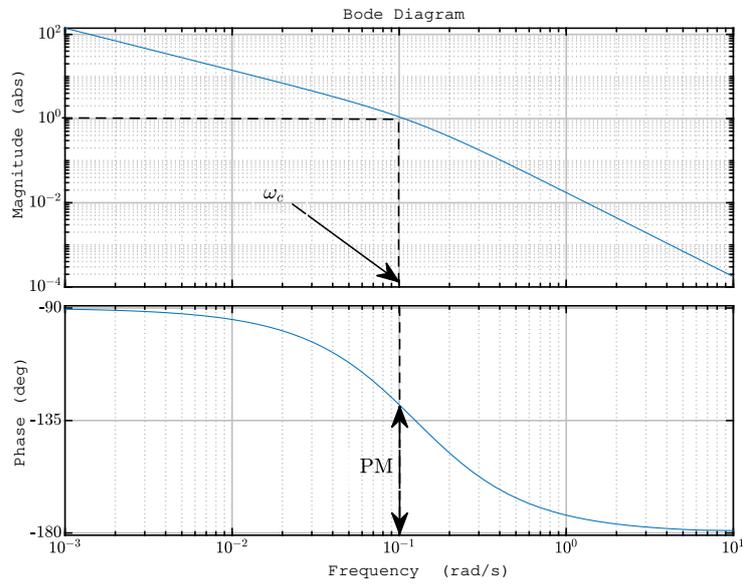


Figure 4: Bode plot of  $L = gc$ .

### Problem 3. Mixing process

a) Assumptions:

- perfect level control, i.e. the volume in the tank is constant,  $V = 100$  l
- constant density
- $c_v \approx c_p \approx \text{constant}$
- the reference temperature is  $T_{ref} = 0$  K

The steady-state mass balance for the tank is given by

$$q_1 + q_2 + q_3 = q, \quad (24)$$

the steady-state component balance is given by

$$q_1 c_1 + q_2 c_2 + q_3 c_3 = qc, \quad (25)$$

and the steady-state energy balance is given by

$$q_1 T_1 + q_2 T_2 + q_3 T_3 = qT. \quad (26)$$

Using the nominal data, we get

$$q_1 + q_2 + 0.45 = 1.25, \quad (27a)$$

$$0.5q_2 + 3.8 \cdot 0.45 = 1.25c, \quad (27b)$$

$$20q_1 + 120q_2 + 40 \cdot 0.45 = 54 \cdot 1.25. \quad (27c)$$

This system of equations can be written in matrix form as

$$\begin{bmatrix} 1 & 1 & 1 \\ 20 & 120 & 0 \\ 0 & 0.5 & -1.25 \end{bmatrix} \begin{bmatrix} q_1 \\ q_2 \\ c \end{bmatrix} = \begin{bmatrix} 0.8 \\ 49.5 \\ -1.71 \end{bmatrix}. \quad (28)$$

We can calculate the nominal flows by solving this system of equations:

$$\begin{bmatrix} q_1 \\ q_2 \\ c \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 \\ 20 & 120 & 0 \\ 0 & 0.5 & -1.25 \end{bmatrix}^{-1} \begin{bmatrix} 0.8 \\ 49.5 \\ -1.71 \end{bmatrix}, \quad (29a)$$

$$\begin{bmatrix} q_1 \\ q_2 \\ c \end{bmatrix} = \begin{bmatrix} 1.2 & -0.01 & 0 \\ -0.2 & 0.01 & 0 \\ -0.08 & 0.004 & -0.8 \end{bmatrix} \begin{bmatrix} 0.8 \\ 49.5 \\ -1.71 \end{bmatrix}, \quad (29b)$$

$$\begin{bmatrix} q_1 \\ q_2 \\ c \end{bmatrix} = \begin{bmatrix} 0.465 \\ 0.335 \\ 1.502 \end{bmatrix}. \quad (29c)$$

The nominal steady-state flows are  $q_1 = 0.465$  l/s and  $q_2 = 0.335$  l/s. The nominal steady-state concentration is  $c = 1.502$  g/l.

- b) Under the same assumptions as before, the dynamic mass balance for the tank is given by

$$\frac{dV}{dt} = 0 = q_1 + q_2 + q_3 - q, \quad (30)$$

and the dynamic energy balance is given by

$$V \frac{dT}{dt} = q_1 T_1 + q_2 T_2 + q_3 T_3 - qT. \quad (31)$$

- c) i) *Dynamic mass balance.*

$$0 = q_1 + q_2 + q_3 - q = f_1. \quad (32)$$

Linearizing around the nominal point, we get 

$$0 = \left( \frac{\partial f_1}{\partial q_1} \right)_* \Delta q_1 + \left( \frac{\partial f_1}{\partial q_2} \right)_* \Delta q_2 + \left( \frac{\partial f_1}{\partial q} \right)_* \Delta q, \quad (33a)$$

$$0 = \Delta q_1 + \Delta q_2 - \Delta q. \quad (33b)$$

Rearranging:

$$\Delta q = \Delta q_1 + \Delta q_2. \quad (34)$$

Taking the Laplace transform:

$$q(s) = q_1(s) + q_2(s). \quad (35)$$

- ii) *Dynamic energy balance.* Using  $q = q_1 + q_2 + q_3$ , we get

$$V \frac{dT}{dt} = q_1(T_1 - T) + q_2(T_2 - T) + q_3(T_3 - T) = f_2. \quad (36)$$

Linearizing around the nominal point, we get 

$$V \frac{\Delta T}{dt} = \left( \frac{\partial f_2}{\partial q_1} \right)_* \Delta q_1 + \left( \frac{\partial f_2}{\partial q_2} \right)_* \Delta q_2 + \left( \frac{\partial f_2}{\partial T} \right)_* \Delta T, \quad (37a)$$

$$V \frac{\Delta T}{dt} = (T_1^* - T^*) \Delta q_1 + (T_2^* - T^*) \Delta q_2 - (q_1^* + q_2^* + q_3^*) \Delta T. \quad (37b)$$

Using nominal data and rearranging, we get

$$100 \frac{\Delta T}{dt} = -34 \Delta q_1 + 66 \Delta q_2 - 1.25 \Delta T. \quad (38)$$

Taking the Laplace transform:

$$100sT(s) = -34q_1(s) + 66q_2(s) - 1.25T(s) \quad (39a)$$

$$(80s + 1)T(s) = -27.2q_1(s) + 52.8q_2(s) \quad (39b)$$

$$T(s) = -\frac{27.2}{80s + 1}q_1(s) + \frac{52.8}{80s + 1}q_2(s) \quad (39c)$$

Writing this system of equations in matrix form, we get

$$y(s) = G(s)u(s), \quad (40a)$$

$$\begin{bmatrix} q(s) \\ T(s) \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ -\frac{27.2}{80s+1} & \frac{52.8}{80s+1} \end{bmatrix} \begin{bmatrix} q_1(s) \\ q_2(s) \end{bmatrix}. \quad (40b)$$

d) The steady-state gain matrix (setting  $s = 0$ ) is

$$G(0) = \begin{bmatrix} 1 & 1 \\ -27.2 & 52.8 \end{bmatrix}. \quad (41)$$

The steady-state relative gain array (RGA) can be calculated as

$$\text{RGA} = G(0) \times (G(0)^{-1})^\top, \quad (42a)$$

$$\text{RGA} = \begin{bmatrix} 0.66 & 0.34 \\ 0.34 & 0.66 \end{bmatrix}. \quad (42b)$$

e) Based on the RGA analysis, we pair inputs and outputs following the main diagonal of the matrix (whose elements are closer to one). The suggested pairing is then:

$$y_1 \iff u_1, \quad (43a)$$

$$y_2 \iff u_2, \quad (43b)$$

or equivalently,

$$q \iff q_1, \quad (44a)$$

$$T \iff q_2. \quad (44b)$$

Physically, we should select the pairing with largest effect (gain) from input to output:

(a) Pair largest stream ( $q_1$ ) with total flow ( $q$ )

(b) Pair stream with temperature most different from desired ( $q_2$ ) with temperature ( $T$ ).

In conclusion, the physical arguments agree with the RGA analysis. The proposed flow sheet is shown in Fig. 5.

f) The control structure shown in Fig. 6 gives up controlling the total flow when the constraint on the concentration is reached. We choose a max selector because the constraint for  $c$  is satisfied by a large value of  $q_1$  (increasing  $q_1$  will lower  $c$  and keep it below  $c_{\max} = 2$  g/l). It is important that both controllers (FC and CC) that enter the MAX-selector have anti windup because both controllers are always running.

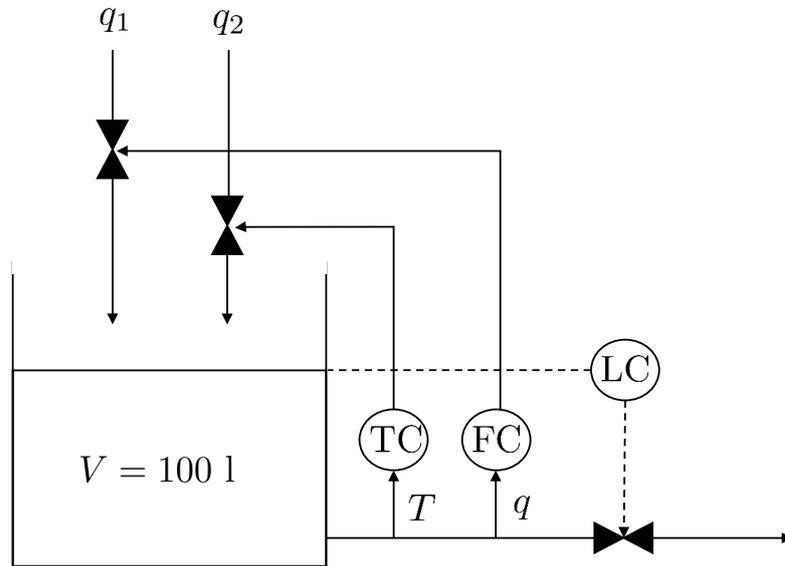


Figure 5: Flow sheet with proposed control system.

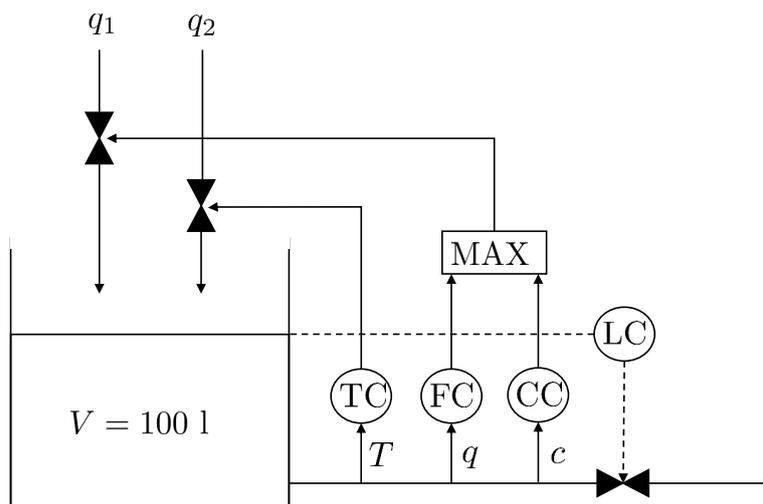


Figure 6: Proposed control structure with a selector.